## Computable structures and operations on the space of continuous functions

by

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Abstract. We use ideas and machinery of effective algebra to investigate computable structures on the space C[0, 1] of continuous functions on the unit interval. We show that  $(C[0, 1], \sup)$  has infinitely many computable structures non-equivalent up to a computable isometry. We also investigate if the usual operations on C[0, 1] are necessarily computable in every computable structure on C[0, 1]. Among other results, we show that there is a computable structure on C[0, 1] which computes + and the scalar multiplication, but does not compute the operation of pointwise multiplication of functions. Another unexpected result is that there exists more than one computable structure making C[0, 1] a computable Banach algebra. All our results have implications for the study of the number of computable structures on C[0, 1] in various commonly used signatures.

1. Introduction. In the 1930's, Turing, Kleene, Markov and others gave different but actually equivalent formal definitions of what is meant by an effective procedure. Remarkably, Turing immediately tested his new formal approach in analysis. In his early papers [32, 33], Turing gave a formal definition of a computable real. In modern terms, a real r is *computable* if there is an effective procedure (Turing machine) which, on input s, outputs a rational q such that  $|q - r| < 2^{-s}$ . Clearly, not every real is computable, because there are only countably many Turing machines.

Turing's definition has a natural generalization to functions. We say that a function  $f : [0,1] \to \mathbb{R}$  is *computable* if there is an effective procedure which, on input *s*, outputs a tuple of rationals  $\langle q_0, \ldots, q_n \rangle$  such that  $\sup_{x \in [0,1]} \{ |f - \sum_{i=0}^n q_i x^i| \} < 2^{-s}$ . In fact, there are several equivalent ways of saying that a function from reals to reals is computable [4]. We state here some classical and recent results. Myhill [24] showed that there exists a computable function which is differentiable, but does not have a computable

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derivative. In contrast, Pour-El and Richards [27] showed that if the second derivative of a computable function f exists (but is not necessarily effective), then the derivative of f is computable. Results of this kind belong to a field of mathematics called *computable analysis* [26, 4]. Recent studies have uncovered an unexpected interaction of differentiability and algorithmic randomness (see Nies [25]). For further correlations of differentiability of continuous functions and algorithmic randomness see [3, 5].

We would like to have a notion of computability for other common spaces. Notice that we could use piecewise linear functions with rational breakpoints, or some other *effectively dense subset*, instead of polynomials over  $\mathbb{Q}$ . In fact, if we have an effectively dense subset of an arbitrary metric space, then we can develop computable analysis on the space:

DEFINITION ([4, 26]). Let (M, d) be a complete separable metric space, and let  $(q_i)_{i \in \mathbb{N}}$  be a dense sequence without repetitions. The triple  $\mathcal{M} = (M, d, (q_i)_{i \in \mathbb{N}})$  is a computable metric space if  $d(q_i, q_k)$  is a computable real uniformly in i, k. We say that  $(q_i)_{i \in \mathbb{N}}$  is a computable structure on M. We refer to the elements of the sequence  $(q_i)_{i \in \mathbb{N}}$  as special points.

EXAMPLE. The following metric spaces possess computable structures:

- (i) The reals  $\mathbb{R}$  with the usual distance metric.
- (ii) The Cantor space  $\{0,1\}^{\mathbb{N}}$ , consisting of the functions  $f: \mathbb{N} \to \{0,1\}$  with the distance function  $d(f,g) = \max\{2^{-n}: f(n) \neq g(n)\}$  (where  $\max \emptyset = 0$ ).
- (iii) The space C[0, 1] of continuous functions on the unit interval with the pointwise supremum metric.

A Cauchy name for a point x is a sequence  $(q_{f(s)})_{s\in\mathbb{N}}$  of special points converging to x such that  $d(q_{f(s)}, q_{f(t)}) \leq 2^{-s}$  for each t > s.

DEFINITION. An element x of a computable metric space  $(M, d, (q_i)_{i \in \mathbb{N}})$ is computable if there exists a computable function f such that  $(q_{f(s)})_{s \in \mathbb{N}}$  is a Cauchy name for x. To emphasize which computable structure on M is considered, we say that x is computable with respect to  $(q_i)_{i \in \mathbb{N}}$ .

**1.1. Equivalent and isometric computable structures.** As we will see, separable spaces have many different computable structures, but not all of these structures are *essentially different*. For instance, rational piecewise linear functions and rational polynomials would lead us to the same notion of a computable function. We have arrived at the following question:

Which computable structures can be considered as equal or similar?

Pour-El and Richards [26] were probably the first to give the most general precise definition of "similar" computable structures:

DEFINITION (Pour-El and Richards [26]). Computable structures  $(\alpha_i)_{i \in \mathbb{N}}$ and  $(\beta_i)_{i \in \mathbb{N}}$  on a complete separable metric space (M, d) are equivalent up to a computable isometry, or computably isometric, if there exists a surjective self-isometry  $\phi$  of M and an effectively uniform algorithm which on input ioutputs a Cauchy name for  $\phi(\alpha_i)$  in  $(\beta_i)_{i \in \mathbb{N}}$ .

Computable structures  $(\alpha_i)_{i\in\mathbb{N}}$  and  $(\beta_i)_{i\in\mathbb{N}}$  from the definition above can be viewed as computable countable metric spaces. The definition says that these spaces are computably isometric if there exists a computable isomorphism from the closure of  $(\alpha_i)_{i\in\mathbb{N}}$  onto the closure of  $(\beta_i)_{i\in\mathbb{N}}$ . The motivation is clear and is typical to effective mathematics: it is natural to study *computable* objets up to *computable* isomorphisms (<sup>1</sup>). The same motivation led *effective algebraists* to the notions of computable categoricity and computable dimension of a *countable algebraic structure*. Our intuition is often based on these classical notions of effective algebra. We explain them in the subsection below.

**1.2. Computable algebraic structures and effective algebra.** In contrast to computable analysis, the main objects in effective algebra [2, 10, 13] are effectively presented *countable* algebraic structures:

DEFINITION (Mal'tsev [21], independently Rabin [28]). A countable algebra M is constructive (computable) if its elements can be numbered by  $\mathbb{N}$ so that all operations on M become computable functions on the respective numbers of elements. The numbering of the universe making the operations effective is often called a constructivization or a computable presentation of M.

Examples of constructive algebras are countable groups with solvable word problem, the field  $(\mathbb{Q}, +, \times)$ , and the countable atomless Boolean algebra. Mal'tsev and Rabin realized that constructive algebras should be considered up to computable isomorphisms:

DEFINITION (Mal'tsev, Rabin; 1960's). A constructive algebra M is computably categorical (or autostable) if any two constructivizations of it agree up to a computable automorphism of the algebra. The computable dimension of M is the number of constructivizations of M non-equivalent up to a computable automorphism of M.

<sup>(&</sup>lt;sup>1</sup>) There is another non-equivalent approach motivated by numbering theory [9], which we will not discuss here; see [26, 34, 14]. In this approach, computable structures are considered not up to an arbitrary (computable) isometry  $\phi$  but have to agree up to the *fixed* self-isometry of the underlying space, namely up to the identity self-embedding. Following this approach, the space of reals with the standard distance metric has uncountably many non-equivalent computable structures, while in our approach all these structures will be computably isometric [17, 23, 26].

The definition above says that in *effective* algebra objects should be considered up to *effective* isomorphisms. Notice that the idea is the same as in the definition of computably isometric structures on a metric space due to Pour-El and Richards.

Computable categoricity has been completely described for abelian p-groups [11, 31], linear orders [29], and Boolean algebras [13]. The theory of computable dimension contains many deep and intriguing results [10]. Algebraic structures from many common classes have computable dimension 1 or  $\infty$  [10, 11, 12], but for any  $n \in \mathbb{N}$  there exists a structure having computable dimension n (Goncharov [12]). Structures of finite non-trivial (i.e.  $\geq 2$ ) computable dimension can be found in several natural classes including two-step nilpotent groups [16].

Examples of algebraic structures having more than one constructivization, up to a computable isomorphism, include the well-ordering  $(\omega, <)$  and the vector space  $\mathbb{V}$  over Q of countably infinite dimension. It is well-known that if we add the successor relation S to the signature of  $\omega$ , then  $(\omega, <, S)$ becomes computably categorical. More specifically, *if we restrict ourselves* to constructivizations which compute S, it is easy to construct an isomorphism from one constructivization to another starting from the left-most point. Similarly, if we add predicates  $(P_i(x_0, \ldots, x_i))_{i \in \mathbb{N}}$  to the signature of  $\mathbb{V}$ , where  $P_i(x_0, \ldots, x_i) = 1$  iff  $x_0, \ldots, x_i$  are linearly independent, then  $\mathbb{V}$  becomes computably categorical in this new signature. Indeed, it is sufficient to map a basis to a basis stage-by-stage. As we can see, the number of non-equivalent constructivizations depends on the choice of the signature.

**1.3. Computably categorical separable spaces.** Interestingly, computable analysis has been developing quite independently of effective algebra. Combining ideas of Pour-El and Richards, Mal'tsev, and Rabin, we obtain the following new definition:

DEFINITION ([23]). A separable space is *computably categorical* if it has a unique computable structure, up to computable isometries. The *computable dimension* of a separable space is the number of computable structures on it, up to computable isometries.

Following the general philosophy of effective mathematics, we ask:

MAIN QUESTIONS. Which common separable spaces are computably categorical? If a space is not computably categorical, what is its computable dimension? How does computable categoricity depend on signatures?

The fields of effective algebra and computable analysis contain similar ideas, and one would expect that methods of one field can be adjusted to yield similar results in the other. However, even adapting basic technical ideas of effective algebra to computable analysis can be quite hard. In effective algebra we could deal with elements of a given structure directly. For example, we could effectively decide whether or not two elements are equal or not. In contrast, equality on a computable separable space does not have to be decidable. For instance, if two computable reals are *not* equal, then we will eventually see it. However, if they *are* equal, then we may never detect it in finite time. Since we have to deal with Cauchy names of points rather than the points themselves, the complexity of usual arguments will tend to increase by one jump. For example, a finite injury argument will likely become an infinite injury argument, unless we do some specific work to simplify it.

The difficulty of the task and the very little interaction of the fields partially explain why, in contrast to effective algebra, not much is known about computably categorical separable spaces. We list below virtually everything that is known. The metric space  $l_1$  is not computably categorical [26], while every separable Hilbert space is computably categorical [17, 23, 26, 6]. The Cantor space and the Urysohn space are computably categorical [23]. There is also a description of computably categorical compact subsets of  $\mathbb{R}^n$  [23], and two rather specific sufficient conditions of computable categoricity [23, 17]. Also, the space C[0, 1] of continuous functions is not computably categorical [23]. Nothing has been done so far on the computable dimensions of uncountable spaces.

As we have discussed above, in computable algebra computable categoricity tends to be dependent on the signature. However, very little is known about this effect in uncountable metric spaces. In the following, we will implicitly use the definition of a computable operation on a space; the formal definition will be given in the preliminary section (see Definition 2.1). The notion is technical but natural, and the reader can safely rely on her/his intuitive understanding of this phenomenon in the discussion below.

It is not difficult to show that the operations + and  $(r \cdot)_{r \in Q}$  are computable in *every* computable structure on a separable Hilbert space (H, d, 0), where 0 is the distinguished point zero. This fact can be used to show that separable Hilbert spaces are computably categorical [23]. On the other hand, the operation  $x \mapsto (1/2)x$  does not have to be computable in every computable structure on  $(C[0, 1], \sup, 0)$ , and this implies that C[0, 1] is not computably categorical [23] (the implication is not straightforward). More generally, we arrive at:

PROBLEM. Understand the algorithmic properties of the common operations (such as +) on classical Banach spaces and Banach algebras.

The problem above is interesting in its own right and has an analogy in effective algebra (see [15] for *degree spectra of relations*). As we have seen, it is also closely related to the Main Questions stated above.

1.4. The space C[0, 1]. We test our notions on the space C[0, 1] of continuous functions on the unit interval with the usual pointwise supremum metric. Our choice is not arbitrary. First of all, this space is (classically) very well understood (see, e.g., [8]). Also, as we have already mentioned, there is a tradition of studying effective properties of continuous functions rooted in the works of Turing. Logical aspects of C[0, 1] have been studied intensively as well; this is a long tradition probably going back to the Polish school of topology (see, e.g., Mazurkiewicz [22]). More recent investigations include results on hierarchies of continuous functions in relation to descriptive set theory and differentiability (see Kechris and Woodin [18]). Further results can be found in, e.g., [20, 19, 1]. See also [35] for more about hierarchies of continuous functions.

It is well-known that C[0,1] is a universal metric space [30]. In fact, Cherlin proved that the first-order theory of C[0,1], in the signature of rings, is not decidable [7]. Since C[0,1] is a Banach space and a Banach algebra, we have a plethora of signatures to play with.

As we have mentioned,  $(C[0, 1], \sup)$  is not computably categorical [23]. What is its computable dimension? Will it become computably categorical if we add + and  $(r \cdot)_{r \in Q}$  to its signature? If not, how many extra operations should we add to the signature of C[0, 1] to make it computably categorical? Which operations on C[0, 1] can be effectively reconstructed from the metric and other operations? Our main results answer these questions.

1.5. Results. Recall that 0' stands for the halting problem. Goncharov (see, e.g., [10]) showed that if a countable algebra  $\mathcal{A}$  has two constructivizations which are isomorphic relative to 0' but not computably isomorphic, then the computable dimension of  $\mathcal{A}$  is infinite. In Theorem 3.4 we adapt this machinery to separable spaces. The theorem below gives a sufficient condition for a separable space to have infinitely many computable structures non-equivalent up to a computable isometry. Its proof is of some technical interest because it is one of the rare applications of the priority method in classical computable analysis. As a corollary (see Corollary 3.12), we obtain:

THEOREM. There exist infinitely many pairwise computably non-isometric computable structures on  $(C[0, 1], \sup)$ .

The theorem above is not a straightforward consequence of Theorem 3.4. For instance, in Theorem 3.10 we show that there exists a computable structure on  $(C[0, 1], \sup)$  in which 0 is a computable point, but the operation  $x \mapsto (1/2)x$  is not computable. The proof is different from the one in [23] mentioned above, because we need this structure to satisfy some further properties required in Theorem 3.4. What if we add + and  $(r \cdot)_{r \in Q}$  (in particular,  $(1/2) \cdot$ ) to the signature of  $(C[0, 1], \sup)$ ? Will the space have a unique structure then? The answer is negative:

THEOREM.  $(C[0, 1], \sup)$  is not computably categorical in the signature of Banach spaces.

We will prove this theorem by constructing a computable structure on  $(C[0, 1], \sup, +, (r \cdot)_{r \in Q})$  having unusual properties (see Theorem 4.2), including the one stated in the theorem above (see Corollary 4.3). Another property is that the pointwise multiplication  $\times$  is not computable with respect to this structure (see Corollary 4.4). This fact is of an independent interest to us.

What if we add even more symbols to the signature? Let us consider the signature of Banach algebras with symbols for  $\sup, +, (r \cdot)_{r \in Q}$ , as well as the pointwise multiplication of functions  $\times$  and the multiplicative identity 1. It is not difficult to see that C[0, 1] in the signature of Banach algebras augmented by a distinguished symbol for the linear function f(x) = x is computably categorical. Clearly, every polynomial can be generated from the monomial x using the usual operations of a Banach algebra. Since the monomial is exactly the function f(x) = x added to the signature, we can conclude that all polynomials with rational coefficients form a uniformly computable set. Therefore, we can effectively map any computable structure to another, but only when restricted to this signature.

Notice that a lot of classical and effective theory can be developed just based on the signature of Banach algebras, without this extra symbol for f(x) = x. It is rather unexpected that without this symbol for f(x) = x the space C[0, 1] is not computably categorical:

THEOREM.  $(C[0, 1], \sup)$  is not computably categorical in the signature of Banach algebras.

The strategy for the theorem above would be to construct a computable structure on  $(C[0, 1], \sup, +, (r \cdot)_{r \in Q}, \times)$  such that f(x) = x is not a computable point with respect to this structure (see Theorem 5.3). The theorem is a consequence of this fact (see Corollary 5.4).

Informally, the theorem above shows that polynomials are essential and intrinsic to the standard effective analysis.

**1.6.** The structure of the paper. In Section 2 we give a necessary background and a careful elementary analysis of common operations on C[0,1]. For instance, we show that the complicated signatures of Banach spaces and Banach algebras on  $(C[0,1], \sup)$  can be equivalently replaced by  $\langle + \rangle$  and  $\langle +, \times \rangle$ , respectively. Section 3 studies the computable dimension of C[0,1]. In Section 4 we show that C[0,1] is not computably categorical

as a Banach space, and in Section 5 we prove that there is more than one structure which makes C[0, 1] a computable Banach algebra.

2. Preliminaries. We give formal definitions of the notions informally used in the introduction. Most of these, maybe in a slightly different terminology, can be found in [26, 4].

**2.1. Notation and conventions.** Recall that, given a computable structure  $(q_i)_{i\in\mathbb{N}}$  on a metric space M, an element x of M is computable if there exists a computable function f such that  $(q_{f(s)})_{s\in\mathbb{N}}$  is a Cauchy name for x. It is well-known that a point x from  $\mathcal{M} = (M, d, (q_i)_{i\in\mathbb{N}})$  is computable if, and only if, from a positive rational  $\delta$  one can compute p such that  $d(x, q_p) \leq \delta$ . We will use this fact without explicit reference. Recall also that, to emphasize which computable structure on M is considered, we say that x is computable with respect to  $(q_i)_{i\in\mathbb{N}}$ .

We usually identify a special point  $\alpha_i$  with its number *i* and say "find a special point such that ..." instead of "find a number *i* such that  $\alpha_i$  ...".

DEFINITION 2.1. Let  $\mathcal{M}$  and  $\mathcal{N}$  be computable metric spaces. A map  $F : \mathcal{M} \to \mathcal{N}$  is *computable* if there is a Turing functional  $\Phi$  such that, for each x in the domain of F and for every Cauchy name  $\chi$  for x, the functional  $\Phi$  enumerates a Cauchy name for F(x) using  $\chi$  as an oracle (<sup>2</sup>).

To emphasize which computable structures we consider, we say that a map F is computable with respect to  $(\alpha_i)_{i \in \mathbb{N}}$  and  $(\beta_i)_{i \in \mathbb{N}}$ . The composition of two computable maps is computable.

In the special case of isometric (more generally, bi-Lipschitz) maps, Definition 2.1 is equivalent to saying that for every special point  $\alpha_i$  in  $\mathcal{M}$  the point  $F(\alpha_i)$  is computable uniformly in *i*. We will use this observation without explicit reference. For instance, the definition of computably isometric structures that we used in the introduction is equivalent to:

DEFINITION 2.2. Computable structures  $(\alpha_i)_{i\in\mathbb{N}}$  and  $(\beta_i)_{i\in\mathbb{N}}$  on a Polish space (M, d) are said to be *equivalent up to a computable isometry*, or (*computably*) *isometric*, if there exists a surjective self-isometry U computable with respect to  $(\alpha_i)_{i\in\mathbb{N}}$  and  $(\beta_i)_{i\in\mathbb{N}}$ .

Note that if U is a computable surjective isometry, then  $U^{-1}$  is computable as well. Therefore, equivalence up to a computable isometry is an equivalence relation on computable metric spaces.

**2.2.** Computable operations on spaces. We follow [23] in our terminology. An *operation* is a function which maps tuples of points to points (such as the addition in a Banach space), or tuples of points to reals (such

<sup>(&</sup>lt;sup>2</sup>) That is,  $(\Phi^{\chi}(n))_{n \in \mathbb{N}}$  is a Cauchy name for F(x).

as the inner product in a Hilbert space). Also, we view a distinguished point x as function  $T_x : M \to \{x\}$  such that  $T_x(y) = x$  for every y. Thus, distinguished points are operations of a special kind.

In the following, we view a direct power  $M^k$  of (M, d) as a metric space with the metric  $d_k = \sup_{i \leq k} d(\pi_i x, \pi_i y)$ , where  $\pi_i$  is the projection on the *i*th component. Let  $(\alpha_i)_{i \in \mathbb{N}}$  be a computable structure on (M, d). The computable structure  $[(\alpha_i)_{i \in \mathbb{N}}]^k$  on  $(M^k, d_k)$  is the effective listing of k-tuples of special points from  $(\alpha_i)_{i \in \mathbb{N}}$ .

For convenience, if an operation  $X : M^k \to M$  is computable with respect to  $[(\alpha_i)_{i\in\mathbb{N}}]^k$  and  $(\alpha_i)_{i\in\mathbb{N}}$ , we simply say that X is computable with respect to  $(\alpha_i)_{i\in\mathbb{N}}$ . Similarly, instead of saying that an operation  $X : M^k \to \mathbb{R}$ is computable with respect to  $[(\alpha_i)_{i\in\mathbb{N}}]^k$  and  $(q_i)_{i\in\mathbb{N}}$ , where  $(q_i)_{i\in\mathbb{N}}$  is the usual effective listing of rationals, we say that X is computable with respect to  $(\alpha_i)_{i\in\mathbb{N}}$ .

Recall that every Turing functional  $\Phi_e$  can be effectively identified with its computable index e. Thus, we may also speak of uniformly computable families of maps between computable metric spaces.

DEFINITION 2.3. Let  $(M, d, (X_j)_{j \in J})$  be a metric space with distinguished operations  $(X_j)_{j \in J}$ , where J is a computable set. We say that  $(\alpha_i)_{i \in \mathbb{N}}$ is a *computable structure* on  $(M, d, (X_j)_{j \in J})$  if  $(M, d, (\alpha_i)_{i \in \mathbb{N}})$  is a computable metric space and the operations  $(X_j)_{j \in J}$  are computable with respect to  $(\alpha_i)_{i \in \mathbb{N}}$  uniformly in their respective indices  $j \in J$ .

EXAMPLE 2.4.

- (1) A dense set  $(\alpha_i)_{i \in \mathbb{N}}$  is a computable structure on a Banach space  $\mathbb{B}$  if  $(\mathbb{B}, d, (\alpha_i)_{i \in \mathbb{N}})$  is a computable metric space and 0, +, and  $(r \cdot)_{r \in Q}$  are uniformly computable operations with respect to  $(\alpha_i)_{i \in \mathbb{N}}$ .
- (2) Similarly, a collection of points  $(\alpha_i)_{i \in \mathbb{N}}$  is a computable structure on a Banach algebra  $\mathbb{B}$  if the Banach space operations from (1) above, and additionally, the operation  $\times$  and the identity function  $1 \in C[0, 1]$  are computable with respect to  $(\alpha_i)_{i \in \mathbb{N}}$ .

Clearly, an isomorphism U of a space  $M_1$  onto  $M_2$ , in the signature augmented by  $(X_j)_{j \in J}$ , should respect the operations  $(X_j)_{j \in J}$ .

DEFINITION 2.5. A space  $(M, d, (X_j)_{j \in J})$  is computably categorical if any two computable structures  $(\alpha_i)_{i \in \mathbb{N}}$  and  $(\beta_i)_{i \in \mathbb{N}}$  on  $(M, d, (X_j)_{j \in J})$  are computably isometric via an isometry which respects  $X_j$  for every  $j \in J$ .

To emphasize which signature we consider, we frequently use the following terminology:

CONVENTION 2.6. We always assume that we have a metric, and we often omit the symbol for the metric in the signature. (This convention is

similar to omitting the equality in model theory.) We say that a metric space is computably categorical as a Banach space if it is considered in the signature  $\langle 0, +, (r \cdot)_{r \in Q} \rangle$  of Banach spaces. We say that it is computably categorical as a Banach algebra if we use the signature  $\langle 0, +, (r \cdot)_{r \in Q}, 1, \times \rangle$  of Banach algebras.

DEFINITION 2.7. We say that operations  $(Y_i)_{i\in I}$  effectively determine operations  $(X)_{j\in J}$  on a metric space (M, d) if every isometry of M which respects  $(Y_i)_{i\in I}$  respects  $(X_j)_{j\in J}$  as well, and furthermore, for any given structure  $(\alpha_i)_{i\in\mathbb{N}}$  on (M, d), the uniform computability of  $(Y_i)_{i\in I}$  with respect to  $(\alpha_i)_{i\in\mathbb{N}}$  implies the uniform computability of  $(X)_{j\in J}$  with respect to  $(\alpha_i)_{i\in\mathbb{N}}$ .

In the definition above we could have omitted the part talking about isometries respecting operations, but we wish to emphasize that we are not computing *some* image of  $(X)_{j \in J}$ , but there is only one image of  $(X)_{j \in J}$ which we can furthermore compute.

FACT 2.8. Suppose  $(M, d, (X_j)_{j \in J}, (Y_i)_{i \in I})$  is computably categorical, where the operations  $(Y_i)_{i \in I}$  effectively determine the operations  $(X_j)_{j \in J}$ . Then  $(M, d, (Y_i)_{i \in I})$  is computably categorical.

*Proof.* This follows at once from Definitions 2.5 and 2.7.  $\blacksquare$ 

The fact above says that, if a certain operation is determined by other operations, it can be omitted from the signature without any effect on the effective properties of the space.

**2.3. Operations on Banach spaces.** Mazur and Ulam (see, e.g., [30]) showed that every isometry in a Banach space is affine. We will use an effective version of this classical result:

FACT 2.9. Let B be a computable Banach space with computable structure  $(\alpha_i)_{i\in\mathbb{N}}$ . If  $(\beta_i)_{i\in\mathbb{N}}$  is a computable structure on (B, d, 0), and  $(\beta_i)_{i\in\mathbb{N}}$ is computably isometric to  $(\alpha_i)_{i\in\mathbb{N}}$ , then + and  $(r \cdot)_{r\in Q}$  are uniformly computable with respect to  $(\beta_i)_{i\in\mathbb{N}}$ .

Note that a computable isometry does not have to preserve anything except for the metric.

*Proof.* See the proof of [23, Fact 3.6].

In the following, + stands for the pointwise addition of functions, and  $\times$  denotes the pointwise multiplication of functions. We begin with some easy facts about computable structures on metric and Banach spaces (<sup>3</sup>).

 $<sup>(^{3})</sup>$  The authors thank David Diamondstone for pointing out Facts 2.10, 2.11 and 2.15.

FACT 2.10. In a computably separable Banach space the operations + and  $d(\cdot, \cdot)$  effectively determine the operations  $(r \cdot)_{r \in Q}$ , - and the zero element **0**.

*Proof.* Fix a computable structure B on a Banach space where we are only given + as a computable operation on B. In a Banach space the zero element **0** is defined uniquely by the formula d(x, x + x) = 0. Given n we search for some  $b_n \in B$  such that  $d(b_n, b_n + b_n) < 2^{-n-1}$ . Then  $\{b_n\}_{n \in \mathbb{N}}$  is a fast converging sequence with limit **0**.

To show that we can effectively determine the operation -, it is sufficient to show that given  $b_0, b_1 \in B$  we can effectively get a fast converging sequence  $\{c_n\}_{n\in\mathbb{N}}$  with limit  $b_0 - b_1$ , where  $c_n \in B$  for all n. We can compute a Cauchy name for  $-b_1$ , since the latter is uniquely defined by the formula  $b_1 + x = \mathbf{0}$ . Now it is straightforward to compute a Cauchy sequence rapidly converging to  $b_0 + (-b_1)$ .

Given  $n \in \mathbb{N}$  and  $b \in B$ , the element  $\frac{b}{n}$  is uniquely defined by the formula

$$\underbrace{x + \dots + x}_{n \text{ times}} = b$$

Thus given  $n, m \in \mathbb{N} - \{0\}$  and  $b \in B$  we can compute a Cauchy name for  $\frac{m}{n} \cdot b$ .

FACT 2.11. In a computably separable Banach space the operations – and  $d(\cdot, \cdot)$  effectively determine the operations  $(r \cdot)_{r \in Q}$  and +.

*Proof.* Given – we can compute **0** using b - b for any  $b \in B$ . The operation + is then computed using  $b_0 + b_1 = b_0 - (\mathbf{0} - b_1)$ . Once we have + and the metric  $d(\cdot, \cdot)$ , we can compute  $(r \cdot)_{r \in Q}$  as above.

REMARK 2.12. From the facts above we conclude that, for our purposes, the signature of Banach spaces can be replaced by either  $\langle + \rangle$  or  $\langle - \rangle$ , the latter two being equivalent.

We will not touch the question below:

QUESTION 2.13. In a computably separable Banach space do the operations  $(r \cdot)_{r \in Q}$  and  $d(\cdot, \cdot)$  effectively determine the operation +?

We now turn to Banach algebras. The multiplicative identity in a Banach algebra is denoted by **1**. In C[0,1] this is defined by the rule  $\mathbf{1}(x) = 1$  for each  $x \in [0,1]$ .

CONVENTION 2.14. In the following, when we consider C[0, 1], we write d for the supremum metric.

In fact, it is known that a Banach space automorphism of C[0,1] is already a Banach algebra automorphism if it maps **1** to **1** (see, e.g., [8]). We need more: FACT 2.15. In C[0,1] the operations  $\times$ , + and  $d(\cdot, \cdot)$  effectively determine the elements **0** and **1**, and the operation  $(r \cdot)_{r \in Q}$ .

*Proof.* By Fact 2.10 we can effectively obtain **0** and  $(r \cdot)_{r \in Q}$ . In the standard structure consisting of rational polynomials on C[0,1] (and hence in every isometric structure), the set  $\{0, 1\}$  is isolated by the formula  $x \times x - x = \mathbf{0}$ , since for every function f satisfying this formula, f(x) = 0 or 1 at each  $x \in [0,1]$ . Given a structure Y on C[0,1] and a special point  $a \in Y$  we can compute  $a \times a - a$  up to any degree of accuracy. To show that **1** is a computable point of Y we seek, for any given e, a special point  $c_e \in Y$  such that  $||c_e \times c_e - c_e|| < 2^{-e}$  and  $|||c_e|| - 1| < 2^{-e}$ . This search is effective since the norm  $|| \cdot ||$  is computable, and is easy to check that this procedure will return a special point  $c_e$  such that  $d(c_e, \mathbf{1}) < 2^{-e}$ .

REMARK 2.16. The signature of Banach algebras can be equivalently replaced by  $\langle +, \times \rangle$  when considering C[0, 1].

The reader may notice that Fact 2.15 holds for a large class of computable Banach algebras, but this is not important for us. There are several signatures and further questions which we leave untouched since they seem less natural. For instance: Does 1 effectively determine the operation (1/2). (in the presence of the metric)? We believe that the proof of Theorem 3.10 can be modified to answer this question in the negative.

CONCLUSION. The main signatures of interest for (C[0,1],d) are  $\langle + \rangle$ and  $\langle +, \times \rangle$ , the former being equivalent to the signature of Banach spaces, and the latter to the signature of Banach algebras.

**3. Limit equivalent computable structures.** We now investigate the analogue of a classical result of Goncharov. The main definition is the following.

DEFINITION 3.1. Two computable structures  $\mathcal{L}$  and  $\mathcal{L}'$  on a separable metric space (M, d) are said to be *limit equivalent* if there is a total computable function  $g(x, s) : \mathcal{L} \times \mathbb{N} \to \mathcal{L}'$  such that  $f(x) = \lim_{s \to \infty} g(x, s)$  is an isometric bijection of  $\mathcal{L}$  onto  $\mathcal{L}'$ , where the limit is taken with respect to the standard metric on  $\mathbb{N}$  (i.e. the sequence  $(g(x, s))_{s \in \mathbb{N}}$  is eventually stable on every x).

Notice that we require the number of changes in  $g(x,0), g(x,1), g(x,2), \ldots$ to be finite for every x. Thus, the function  $f(x) = \lim_{s} g(s, x)$  induces a selfisometry of (M, d) onto itself under which the image of every special point from  $\mathcal{L}$  is a special point in  $\mathcal{L}'$ . Notice that  $(d(g(x, s), g(x, s + 1)))_{s \in \mathbb{N}}$  does not have to be rapidly converging. Consequently, f(x) need not be equal to a computable isometry with respect to  $\mathcal{L}$  and  $\mathcal{L}'$ . We prefer to write  $g_s(x)$ instead of g(x, s). In the following, we identify an element  $v_n$  from a computable structure  $(v_n)_{n \in \mathbb{N}}$  on a space with the number n. Under this identification, the function f from Definition 3.1 can be viewed as a  $\Delta_2^0$  permutation of natural numbers with a special property.

DEFINITION 3.2. A computable structure  $\mathcal{L}$  on a separable metric space (M, d) is *rational-valued* if  $d(x, y) \in \mathbb{Q}$  for every  $x, y \in \mathcal{L}$ , and the distance d is represented by a computable *function* of two arguments mapping each pair (x, y) of special points to the corresponding rational number d(x, y).

Note that every rational-valued computable structure  $\mathcal{L}$  can be viewed as a computable countable relational model  $\langle \mathbb{N}, (D_r)_{r \in \mathbb{Q}} \rangle$ , where for each  $r \in \mathbb{Q}$  and  $x, y \in M$  we have  $D_r(x, y) = 1$  if, and only if, d(x, y) = r.

REMARK 3.3. Not every computable structure on a separable metric space is computably isometric to a rational-valued computable structure. In fact, there are computable spaces which do not have rational-valued dense subsets at all. The simplest Polish space with this property is the Cantor space with the usual ultrametric  $d(f,g) = \max\{2^{-n} : f(n) \neq g(n)\}$  replaced by  $d_1(f,g) = \sqrt{2} d(f,g)$ .

The main result of the section is:

THEOREM 3.4. Suppose  $\mathcal{L}$  and  $\mathcal{L}'$  are two computable rational-valued structures on a separable metric space (M,d) which are not computably isometric. If  $\mathcal{L}$  and  $\mathcal{L}'$  are limit equivalent, then (M,d) has infinitely many computable structures which are pairwise non computably isometric  $(^4)$ .

The proof is organized as follows. First, we state the notation and the requirements. Next, we give an informal description which is followed by the formal construction and its verification.

**3.1. Notation and conventions.** We fix an effective listing  $(\Psi_e)_{e \in \mathbb{N}}$  of all partial computable functions of two arguments, which includes all computable isometries from  $\mathcal{L}$  to  $\operatorname{cl}(\mathcal{L}')$ . Here, for each  $S \subseteq M$ ,  $\operatorname{cl}(S)$  stands for the completion of S in M. For every x and n such that  $\Psi_e(x, n)\downarrow$ , the number  $\Psi_e(x, n)$  will be interpreted as an element of  $\mathcal{L}'$ . The listing  $(\Psi_e)_{e \in \mathbb{N}}$  satisfies the following conditions:

(1) for any e, t, x, we have  $d(\Psi_e(x, t), \Psi_e(x, t+1)) < 2^{-t-1}$  if  $\Psi_e(x, t)$  and  $\Psi_e(x, t+1)$  converge,

 $<sup>(^4)</sup>$  As we mentioned above,  $\mathcal{L}$  and  $\mathcal{L}'$  can be viewed as computable structures that are isomorphic relative to 0' but not computably isomorphic. By the Goncharov theorem there are infinitely many computable versions that are pairwise not computably isomorphic. However, these copies may be computably isometric as computable metric spaces, just not by an isometry that takes special points to special points (recall we need to care about their *completions*). Thus, the original result of Goncharov cannot be applied.

- (2) for every stage s and any e, t, x, we have  $\Psi_{e,s}(x, t) \downarrow$  only if  $\Psi_{e,s}(x, n) \downarrow$  for each  $n \leq t$ , and
- (3) if  $\Theta : \mathcal{L} \mapsto \operatorname{cl}(\mathcal{L}')$  is a computable isometry then there exists some e such that for every  $x \in \mathcal{L}$  we have  $\Theta(x) = \lim_{n \to \infty} \Psi_e(x, n)$ .

To see that  $(\Psi_e)_{e \in \mathbb{N}}$  exists, we start with some universal listing of all partial computable functions of two variables, and limit ourselves to those which satisfy (1)–(3). Since  $d(\Psi_e(x,t),\Psi_e(x,t+1))$  is a computable fast converging sequence of rational numbers (in this case  $d(\Psi_e(x,t),\Psi_e(x,t+1))$  is in fact rational), we will always be able to tell whenever  $d(\Psi_e(x,t),\Psi_e(x,t+1)) < 2^{-t-1}$ .

For any e and x, set  $\Theta_e(x) = \lim_{n \to \infty} \Psi_e(x, n)$  if the limit exists (where the limit is taken with respect to the metric on M), and set  $\Theta_e(x)\uparrow$  otherwise. The range of  $\Theta_e$ , of course, does not have to be included in  $\mathcal{L}'$ .

NOTATION 3.5. At stage s we set  $\Theta_{e,s}(x)$  equal to  $\Psi_{e,s}(x,m)$  if m is the largest such that  $\Psi_{e,s}(x,m)\downarrow$ ; otherwise we leave  $\Theta_{e,s}(x)$  undefined. In the former case we let  $\theta_{e,s}(x) = m$ . Thus,  $\Theta_{e,s}(x)$  is our stage s guess about  $\Theta_{e}(x)$ , and  $\theta_{e,s}(x)$  indicates the error between  $\Theta_{e,s}(x)$  and  $\Theta_{e}(x)$ .

Let  $f = \lim_{s} g_s$  be a  $\Delta_2^0$  permutation of natural numbers witnessing the limit equivalence of  $\mathcal{L}$  and  $\mathcal{L}'$ . As mentioned above,  $\mathcal{L}$  and  $\mathcal{L}'$  are essentially countable models. Thus we can safely assume that  $g_s$  is an isometry when restricted to the first *s* elements of its domain.

Note that if the assumption of being rational-valued were removed then we can no longer assume that  $g_s \upharpoonright_s$  is an isometry of finite metric spaces. At each stage s we only see  $g_s \upharpoonright_s$  as an isometry "with an error of at most  $\varepsilon$ " for some  $\varepsilon > 0$ . In this more general setting we do not know if any reasonable analogue of Goncharov's theorem holds.

**3.2. Requirements.** We are going to produce a countably infinite family  $\{A_m : m \in \mathbb{N}\}$  of computable structures on (M, d) which are pairwise not computably isometric. For every m, the structure  $A_m$  will be rational-valued.

We need to satisfy, for every n > m and e, the following requirements:

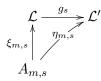
 $\mathcal{N}_{e,m,n}$ :  $\Theta_e$  does not induce an isomorphism from  $cl(A_m)$  onto  $cl(A_n)$ ,

 $\mathcal{R}_m$ :  $A_m$  is isometric (in fact, limit equivalent) to  $\mathcal{L}$  and  $\mathcal{L}'$ .

To meet  $\mathcal{R}_m$  we will construct surjective isometries between computable structures. Thus,  $A_m$  will be a rational-valued computable structure isomorphic to  $\mathcal{L}$  and  $\mathcal{L}'$  as a relational model (recall the discussion after Definition 3.2).

**3.3. Informal description.** We first describe the strategy for  $\mathcal{R}_m$ . To meet  $\mathcal{R}_m$  we construct  $\Delta_2^0$  surjective isometric maps  $\xi_m : A_m \to \mathcal{L}$  and  $\eta_m : A_m \to \mathcal{L}'$ . This is done via the approximations  $\xi_{m,s}$  and  $\eta_{m,s}$  where

 $\xi_m = \lim_s \xi_{m,s}$  and  $\eta_m = \lim_s \eta_{m,s}$ . Additionally, we ensure that at each stage s, we have  $g_s(\xi_{m,s}) = \eta_{m,s}$  on their domains:



The main strategy for  $\mathcal{R}_m$  is to copy either  $\mathcal{L}$  or  $\mathcal{L}'$ , which is carried out via the surjective isometric maps  $\xi_m$  and  $\eta_m$  built by the strategy. The use of two maps rather than a single one will enable us to organize the activity of switching back and forth between copying  $\mathcal{L}$  and copying  $\mathcal{L}'$  during the construction. Since g(x) may change several times before stabilizing on a value, it may become necessary for us to redefine  $\xi_m$  and  $\eta_m$  during the construction in order to maintain the equality illustrated above.

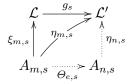
To be more specific, suppose at stage s we have defined  $\xi_{m,s}(y)$  and  $\eta_{m,s}(y)$  such that  $g_s(\xi_{m,s}(y)) = \eta_{m,s}(y)$ . Suppose now that  $g_{s+1}(x) \neq g_s(x)$  where  $x = \xi_{m,s}(y)$ . To keep the equality we have to do one of two things: either maintain  $\xi_{m,s+1}(y) = \xi_{m,s}(y)$  and redefine  $\eta_{m,s+1}(y) = g_{s+1}(x)$ , or maintain  $\eta_{m,s+1}(y) = \eta_{m,s}(y)$  and redefine  $\xi_{m,s+1}(y) = z$  where  $g_{s+1}(z) = g_s(x)$  (we speed up the approximation for  $g_s$  until such a z is found). In the former case we say that  $\mathcal{R}_m$  corrects via  $\eta_m$ , and in the latter case we say that  $\mathcal{R}_m$  corrects via  $\eta_m$ , and in the latter case we say the two sides to preserve; this choice will be made so that the highest priority  $\mathcal{N}$ -requirement with a current restraint larger than x is not injured. Since the approximation to  $g_s(x)$  will eventually stabilize, at the end,  $\eta_m$  and  $\xi_m$  will be witnesses to the limitwise equivalence of  $A_m$  and  $\mathcal{L}$ , and the limitwise equivalence of  $A_m$  and  $\mathcal{L}'$ , respectively.

An  $\mathcal{N}_{e,m,n}$ -strategy in isolation will define a computable isometry between  $\mathcal{L}$  and  $\mathcal{L}'$  using the approximation  $\Theta_{e,s} : A_m \to A_n$  and the maps  $\xi_m$ and  $\eta_n$ . Recall that  $\Theta_e(x)$ , if defined, is equal to the limit of the fast converging sequence  $(\Psi_e(x,n))_{n\in\mathbb{N}}$  of points in  $\mathcal{L}'$ . Recall also that for every s,  $\Theta_{e,s}$  is a (partial) function from  $\mathcal{L}$  to  $\mathcal{L}'$ , but the range of  $\Theta_e$  itself may be outside  $\mathcal{L}'$ .

If  $\Theta_e$  is defined but does not induce an isometry, we will eventually see it because  $\Theta_{e,s}$  will reflect it at some stage s. (This can only happen if for some  $x, y \in \mathcal{L}$  we have  $d(x, y) \neq d(\Theta_e(x), \Theta_e(y))$ .) The slightly more difficult case to handle is if  $\Theta_e$  is not total, or  $\Theta_e$  induces an isometry which is not onto. This, however, can be measured in a  $\Pi_2^0$ -way, and so to circumvent this difficulty, we use *expansionary stages* combined with a continuous version of Goncharov's original preservation strategy, as follows: A stage s is called (e, m, n)-expansionary if  $\Theta_{e,s}$  "looks like an isometry from  $A_m$  to  $A_n$  with a certain precision" on a larger initial segment of its domain, with a better precision than at the previous expansionary stage, and with a further element of  $\mathcal{L}'$  covered by a sufficiently small neighborhood of the range of  $\Theta_{e,s}$ . (The formal definition of an expansionary stage will be given later.) We will show that there are infinitely many (e, m, n)-expansionary stages iff  $\Theta_e$  induces an onto isometry from  $cl(A_m)$  to  $cl(A_n)$ .

We allow the strategy  $\mathcal{N}_{e,m,n}$  to act only at (e, m, n)-expansionary stages. At an (e, m, n)-expansionary stage s of the construction,  $\mathcal{N}_{e,m,n}$  will define the length of agreement between  $\Theta_e(A_m)$  and  $A_n$  (this will be formally defined later) and will attempt to preserve  $\xi_{m,s}$  on the domain of  $\Theta_{e,s}$  and  $\eta_{n,s}$  on the range of  $\Theta_{e,t}$  for  $t \leq s$ . It is crucial that at every finite stage the domain and the (approximation to) range are both finite sets. If the restraint of this strategy  $\mathcal{N}_{e,m,n}$  eventually covers all of  $A_n$  and  $A_m$  then we would force both  $\xi_m$  and  $\eta_n$  to be computable functions. This would allow us to argue that (contrary to the assumption of Theorem 3.4)  $\mathcal{L}$  and  $\mathcal{L}'$  are computably isometric via the composition of  $\xi_m^{-1}$ ,  $\Theta_e$  and  $\eta_n$ .

The preservation strategy of  $\mathcal{N}_{e,m,n}$  described above potentially conflicts with the  $\mathcal{R}_m$ -strategy when  $g_s(\xi_m(x))$  changes value for x in the domain of  $\Theta_{e,s}$ . Similarly, the preservation strategy of  $\mathcal{N}_{e,m,n}$  will potentially conflict with the  $\mathcal{R}_n$ -strategy when  $g_t(\xi_n(y))$  changes value for y in the range of  $\Theta_{e,t}$ . This is illustrated in the diagram



To prevent injuring  $\mathcal{N}_{e,m,n}$ , the  $\mathcal{R}_m$ -strategy would redefine  $\eta_m$  instead of  $\xi_m$ , while the  $\mathcal{R}_n$ -strategy would redefine  $\xi_n$  instead of  $\eta_n$ . In this way the  $\mathcal{R}_m$ - and  $\mathcal{R}_n$ -strategies can maintain their equalities while not injuring  $\mathcal{N}_{e,m,n}$ . Each  $\mathcal{N}_{e,m,n}$  eventually has finite restraint, and since the approximation  $(g_s)_{s\in\mathbb{N}}$  will eventually settle on each finite subset of  $\mathcal{L}$ , the overall construction involves only finite injury.

DEFINITION 3.6 ((e, m, n)-Expansionary stages). Recall that the elements of computable structures are identified with natural numbers. Hence in the following,  $\Theta_{e,s} : A_m \to A_n$  is viewed as a map from  $\mathbb{N}$  to  $\mathbb{N}$ . Given any stage s and e, m, n, we let  $s^*$  be the largest t < s such that t is an (e, m, n)-expansionary stage (set  $s^* = 0$  if such a t does not exist).

We say that a stage s is (e, m, n)-expansionary if s = 0 or

(1) the domain of  $\Theta_{e,s}$  contains a longer initial segment of  $\mathbb{N}$  up to  $s^*$ , and for each  $x \leq s^*$ ,  $\Theta_{e,s}(x) > s^*$ ;

- (2) for every  $x, y \le s^*$ ,  $|d(x, y) d(\Theta_{e,s}(x), \Theta_{e,s}(y))| \le 2^{-s^*+1}$ ;
- (3) the  $2^{-s^*}$ -neighborhood of the range of  $\Theta_{e,s}$  contains the initial segment of  $\mathbb{N}$  of length at least  $s^*$ .

Notice that every (e, m, n)-expansionary stage is associated with an initial segment of the domain of  $\Theta_{e,s}$  (see (1)) and also with an initial segment of its range (see (3)). We denote these initial segments by  $\sigma_{e,m,n,s}$  and  $\tau_{e,m,n,s}$ , respectively. To reduce cumbersome notation we drop e, m, n from the subscript when the context is clear.

**3.4.** Strategies. We describe the strategies for each requirement.

Strategy for  $\mathcal{N}_{e,m,n}$ : If stage s is not (e, m, n)-expansionary then the strategy does nothing. Otherwise it sets the following restraints on the maps  $\xi_m$  and  $\eta_n$  until the next (e, m, n)-expansionary stage: Preserve the computation of  $\xi_m(x)$  for every  $x \leq \sigma_{e,m,n,s}$  and the computation of  $\eta_n(y)$  for every

$$y \in L_{e,m,n,s} = \{\Theta_{e,t}(z) : z \le |\sigma_{e,m,n,t}|, t \le s\}$$

(notice it is a finite set of points).

Strategy for  $\mathcal{R}_m$ : At stage s of the construction, we define isometric partial maps  $\xi_{m,s} : A_{m,s} \to \mathcal{L}$  and  $\eta_{m,s} : A_{m,s} \to \mathcal{L}'$ . By the choice of  $\mathcal{L}$ and  $\mathcal{L}'$ , we can safely assume that  $g_s$  is an isometry when restricted to the first s elements of its domain. We also assume that for every y mentioned before in the construction, there is an element x such that  $g_s(x) = y$ . The  $\mathcal{R}_m$ -strategy does the following:

1. Correction: For each x such that  $\xi_m(x)$  and  $\eta_m(x)$  are currently defined, but  $g_s(\xi_m(x)) \neq \eta_m(x)$ , we correct via either (i) or (ii):

- (i) Correction via  $\eta_m$ : Maintain  $\xi_m(x)$  and redefine  $\eta_m(x) = g_s(\xi_m(x))$ .
- (ii) Correction via  $\xi_m$ : Maintain  $\eta_m(x)$  and redefine  $\xi_m(x) = z$  where  $g_s(z) = \eta_m(x)$ .

For each x where correction has to be done we pick the highest priority  $\mathcal{N}$ -requirement such that  $\xi_m(x)$  or  $\eta_m(x)$  is restrained. We correct via  $\eta_m$  if  $\mathcal{N}$  wants to restrain  $\xi_m$ , otherwise we correct via  $\xi_m$ . Initialize all lower priority  $\mathcal{N}$ -strategies. (If no  $\mathcal{N}$ -strategy restrains x then we correct via  $\eta_m$ .)

2. Extension: Let k be the least number which is not in the range of  $\xi_m$ . Find an element y in  $A_m$  such that  $\xi_m(y)$  can be set equal to k, and  $\eta_m(y)$  equal to  $g_s(k)$  (i.e. we have to ensure that  $\eta_m, \xi_m$  are isometries of finite metric spaces). If such an element does not exist, introduce a new element  $y_0$  to  $A_m$ , and for each  $y \in A_m$  declare the distances  $d(y_0, y)$  correspondingly, i.e. set  $d(y_0, y) = d(k, \xi_m(y)) = d(g_s(k), \eta_m(y))$ . The extension substage is finished (<sup>5</sup>).

**3.5. Construction.** We fix an effective priority ordering of the  $\mathcal{N}$ -strategies. The  $\mathcal{R}$ -strategies are global strategies and are not assigned a priority, and will not be injured during the construction.

At stage 0 of the construction, initialize all  $\mathcal{N}$ -strategies. At stage s, let the first s many  $\mathcal{N}$ -strategies act according to their instructions described above. Next let the first s many  $\mathcal{R}$ -strategies act.

**3.6. Verification.** We first show that each  $\mathcal{R}_m$  is met, i.e.  $A_m$  is limitwise equivalent to  $\mathcal{L}$  via  $\xi_m$ , and to  $\mathcal{L}'$  via  $\eta_m$ .

LEMMA 3.7. For every m, the maps  $\xi_m = \lim_s \xi_{m,s}$  and  $\eta_m = \lim_s \eta_{m,s}$  are well-defined, bijective, and isometric.

*Proof.* The strategy for  $\mathcal{R}_m$  cannot be injured. Fix an x; we argue that  $\lim_s \xi_{m,s}(x)$  and  $\lim_s \eta_{m,s}(x)$  exist. Let  $\mathcal{N}$  be the highest priority strategy that at some stage of the construction wants to preserve the computation of either  $\xi_m$  or  $\eta_m$ . Suppose  $\mathcal{N}$  wishes to preserve the computation of  $\xi_m$ , say at some earliest stage  $s_0$  (note that in this case  $\mathcal{N}$  will never want to preserve the computation of  $\eta_m$ ). The extension step in the construction ensures that when x is first enumerated in the structure  $A_m$ , we immediately define  $\xi_m(x)$  and  $\eta_m(x)$ . Since these values are only redefined but never canceled, we have  $\xi_{m,s_0}(x)\downarrow$  and  $\eta_{m,s_0}(x)\downarrow$ . Clearly  $\xi_{m,s_0}(x)$  is never again redefined after  $s_0$ , since the correction step for x will always respect the requirement  $\mathcal{N}$  after  $s_0$ . Since  $g_s(\xi_{m,s_0}(x))$  will be eventually stable, this means that  $\eta_{m,s}(x)$  will be eventually stable. If  $\mathcal{N}$  wishes to preserve  $\eta_m$  instead, we proceed as above, but since g is onto we see that  $g_s^{-1}(\eta_{m,s_0}(x))$  will be eventually stable.

The correction step ensures that  $g_s(\xi_{m,s}(x)) = \eta_{m,s}(x)$  for all x and s. Hence this equality holds for the stable final values as well. Now it is easy to verify that since  $g_s |_s$  is an isometry of finite metric spaces for each s, the construction ensures that  $\xi_{m,s}$  is an isometry of finite metric spaces at each step of the construction. Clearly  $\xi_m$  is injective because it is an isometry. Now the fact that  $\xi_m$  is onto follows easily from the fact that the  $g_s(y)$ approximation is eventually stable, and by the action in the extension step. Since  $\eta_m = g \circ \xi_m$  it follows that  $\eta_m$  is bijective and an isometry.

<sup>(&</sup>lt;sup>5</sup>) Recall that we assume that  $g_s$  is an isometry on the first *s* elements, for every *s*. Therefore, we can always fix a  $k \leq s$  and the corresponding  $g_s$ -image of *k*. The distances will agree, and we can safely set  $d(y_0, y) = d(k, \xi_m(y))$ . Obviously, since both  $\mathcal{L}$  and  $\mathcal{L}'$  are subsets of *M*, and in fact one is a permutation of the other, there is no further tension here. We also note that (due to the other strategies acting)  $A_m$  may already have many elements outside the domain of  $\xi_m$ , and in this case we will not have to introduce new elements to  $A_m$  at this particular stage.

Note that the lemma implies at once that all the sets  $A_m$  are computable structures on (isomorphic images of) M.

LEMMA 3.8. For any e, m, n, there are infinitely many (e, m, n)-expansionary stages iff  $\Theta_e$  induces a computable isometry mapping  $A_m$  onto  $A_n$ .

*Proof.* It is straightforward to check that the right to left direction holds. Suppose there are infinitely many (e, m, n)-expansionary stages. In this case condition (1) of Definition 3.6 ensures that for each x,  $\Theta_e(x) = \lim_{t\to\infty} \Psi_e(x,t)$  exists. It suffices to check the following:

- (i) For any x, y, we have  $d(x, y) = \lim_{s \to 0} d(\Theta_{e,s}(x), \Theta_{e,s}(y))$ , since the latter is the distance  $d(\Theta_e(x), \Theta_e(y))$  in  $cl(A_n)$ .
- (ii) For any y and any i, there exist some x and s such that  $\theta_{e,s}(x) > i$ and  $d(\Theta_{e,s}(x), y) < 2^{-i}$ .

Item (i) ensures that  $\Theta_e$  induces an isometry in the closures, while (ii) ensures that  $\Theta_e$  maps onto  $cl(A_n)$ . It is easy to see that (i) and (ii) follow respectively from conditions (2) and (3) of Definition 3.6.

LEMMA 3.9. For any e, m, n,  $\limsup_t |\sigma_{e,m,n,t}| < \infty$  and  $\mathcal{N}_{e,m,n}$  is satisfied.

Proof. We proceed by induction on  $\langle e, m, n \rangle$ . Suppose the lemma holds for all smaller indices. Hence there is a stage  $s_0$  after which  $\mathcal{N} = \mathcal{N}_{e,m,n}$  is never initialized, i.e. never injured by a higher priority requirement. Suppose that  $\lim_{t>s_0} |\sigma_{e,m,n,t}| = \infty$ . Then by Lemma 3.8,  $A_m$  and  $A_n$  are computably isometric. Since  $\Theta_e$  induces an onto map, for each  $z \in \omega$  there is a first (e, m, n)-expansionary stage  $\hat{s}_z > s_0$  such that  $z \in L_{e,m,n,s}$  for every  $s \geq \hat{s}_z$ . This means that for all x, z, the first definition for  $\xi_m(x)$  received after stage  $s_0$  and the first definition for  $\eta_n(z)$  received after stage  $\hat{s}_z$  are stable and final. Hence  $\xi_m$  and  $\eta_n$  are computable functions. By Lemma 3.7 this means that  $\mathcal{L}$  is computably isometric to  $A_m$ , and  $\mathcal{L}'$  is computably isometric to  $A_n$ , a contradiction. Since  $\lim_t |\sigma_{e,m,n,t}| < \infty$ , by Lemma 3.8,  $\mathcal{N}$  is satisfied.

The verification is finished, and Theorem 3.2 is proved.

We now apply Theorem 3.4 to C[0, 1]. For the rest of this paper, d will stand for the pointwise supremum metric on C[0, 1]:

$$d(f,g) = \sup_{x \in [0,1]} \{ |f(x) - g(x)| \},\$$

and  $L = (l_i)_{i \in \mathbb{N}}$  will denote an effective sequence of all continuous piecewise linear functions with finitely many rational breakpoints (written rational p.l. functions), without repetitions. Clearly,  $(l_i)_{i \in \mathbb{N}}$  is a computable structure which makes C[0, 1] a computable Banach space. THEOREM 3.10. There exists a rational-valued computable structure X on (C[0,1],d) which is limit equivalent to L, and such that the constant zero function **0** is computable with respect to X but the operation that takes each function f to  $\frac{1}{2}f$  is not computable with respect to X.

REMARK 3.11. Strictly speaking, we have to be careful with what we mean by "the operation that takes each function f to  $\frac{1}{2}f$ ", because the operation  $\frac{1}{2}$ · is not in the signature. In fact, the theorem of Mazur and Ulam ensures that  $\phi(\frac{1}{2}f) = \frac{1}{2}\phi(f)$  for every isometry which maps 0 to 0. Thus, the operation can *classically* be added to the signature with no effect on the isometries of the space. We show that this mathematical fact does not hold *effectively*.

We obtain the following important corollary:

COROLLARY 3.12. There exist infinitely many computable structures on (C[0,1],d) which are pairwise not computably isometric.

*Proof.* By Theorem 3.4, it is sufficient to prove that there exist two limit equivalent rational-valued computable structures on (C[0, 1], d) which are not computably isometric. The corollary then follows from [23, Fact 3.6] and Theorem 3.10.

*Proof of Theorem 3.10.* The proof combines the proof of [23, Theorem 5.2 with an extra requirement to make the structure limit equivalent to L. We build a computable structure  $X = (h_i)_{i \in \mathbb{N}}$  on  $(\mathcal{C}[0,1],d)$ which consists of rational p.l. functions, and in which  $h_0$  is the constant zero function. At every stage s of the construction, we introduce an interpretation  $h_{i,s}$  of  $h_i$  for every  $i \leq s$ . The interpretation is an element of L. At a later stage t, we may change our interpretation to be another element of L. Thus, in general,  $h_{i,s} \neq h_{i,t}$  for  $s \neq t$ . However, at each stage s of the construction and for all  $i, j \leq s$ , we maintain the equality  $d(h_{i,s}, h_{j,s}) = d(h_{i,s+1}, h_{j,s+1})$ , which will ensure that the structure X is computable, and isometric to L via  $\{\lim_{s\to\infty} h_{i,s}\}$ . We also ensure that  $h_{0,0} = h_{0,s}$  is the constant zero function for every s, which ensures that **0** is computable with respect to X. Let  $\{\Psi_e\}_{e\in\mathbb{N}}$  and  $\{\Theta_e\}_{e\in\mathbb{N}}$  be as in Notation 3.5 (with  $(h_i)_{i \in \mathbb{N}}$  instead of  $\mathcal{L}'$ ). To ensure that the operation  $h_i \mapsto \frac{1}{2}h_i$ is not computable, we need to ensure that for each totally defined  $\Theta_e$ , there is some p such that  $\lim_{s\to\infty} h_{\Theta_{e,s}(p)} \neq \frac{1}{2}h_p$ , where the limit is of course taken in  $\operatorname{cl}(L)$ .

The modification needed to Theorem 5.2 of [23]. The "ugly" rationalvalued computable structure from [23, Theorem 5.2] is not limit equivalent to L. The technical reason is that the interpretation of a single element of that structure could be changed infinitely often, i.e.  $h_{i,s}$  is changed infinitely often. The construction still works because these changes become smaller at later stages, and so the interpretations converge to an element of cl(L), i.e.  $\lim_{s\to\infty} h_{i,s}$  exists in cl(L). If we wish to keep the number of changes to each  $h_{i,s}$  finite, we need to modify the diagonalization strategy slightly. Suppose we are diagonalizing against  $\Theta_e$ . We will use a witness  $h_p$  which has constant value 16e on some small interval  $I_e$  (reserved exclusively for this requirement). The basic strategy will wait for  $\Theta_e(p)$  to converge with high accuracy. We then adjust  $h_p$  on the interval  $I_e$  by lowering its value  $h_p(z)$ by 8e for some  $z \in I_e$ . This will ensure that  $\Theta_e$  is killed. To ensure that distances are preserved, we need to adjust  $h_m$  similarly on  $I_e$  for every  $h_m$ which takes on values larger than 8e on  $I_e$ . This new modified construction will leave functions with norm  $\leq 8e$  untouched after some stage, and ensure that X is limit equivalent to L.

*The formal requirements.* We need to ensure the following global requirements:

- (1) For every *i*, there is some  $s_i$  such that  $h_{i,s_i} = h_{i,t}$  for every  $t \ge s_i$ .
- (2) For any *i*, *j* and *s*, we have  $d(h_{i,s}, h_{j,s}) = d(h_{i,s+1}, h_{j,s+1})$ .
- (3) For each m, there is exactly one k such that  $\lim_{s} h_{k,s} = l_m$ .

These three requirements clearly imply that X is a computable structure which is limit equivalent to L. We need to satisfy, for every e, the requirement

 $N_e: \Theta_e$  does not represent  $h_i \mapsto \frac{1}{2}h_i$  in X.

In the following,  $(I_e)_{e \in \mathbb{N}}$  stands for some effective listing of disjoint computable closed subintervals of [0, 1]. We ensure that for each strategy  $N_e$  and each  $h_i$ ,  $N_e$  is only allowed to modify  $h_i$  on the interval  $I_e$ . More specifically, when  $N_e$  requests for the interpretation of  $h_i$  to be changed at a stage s, we always ensure that  $h_{i,s}(z) = h_{i,s+1}(z)$  for every  $z \notin I_e$ . The requirements  $N_e$ all act independently and at most once during the construction.

The detailed strategy for  $N_e$  is as follows. It will have its own witness, a rational p.l. function  $w_e \in X$ . The function  $w_e$ , when first defined at stage 2e, is equal to 16e on the interval  $I_e$ , is zero at the end-points of [0, 1], and is linear outside  $I_e$ .

Let p be such that  $w_e = h_{p,2e}$ . The strategy  $N_e$  does nothing until it sees a computation  $\Theta_{e,s}(p)$  where  $\theta_{e,s}(p) > e$ . If

$$\sup_{z \in I_e} \left| \frac{1}{2} h_{p,s}(z) - h_{\Theta_{e,s}(p),s}(z) \right| = \sup_{z \in I_e} \left| h_{\Theta_{e,s}(p),s}(z) - 8e \right| > 2^{-e+1},$$

then the strategy does nothing for the rest of the construction, and we win  $N_e$  simply because

$$\sup_{z \in I_e} |h_{\Theta_{e,s}(p),s}(z) - f(z)| \le d(h_{\Theta_{e,s}(p)}, f) < 2^{-e},$$

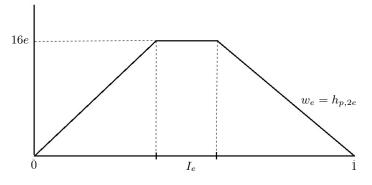


Fig. 1. The function  $h_{p,2e} = w_e$ 

and thus

$$d\left(\frac{1}{2}h_p(z), f(z)\right) \ge \sup_{z \in I_e} \left|\frac{1}{2}h_p(z) - f(z)\right| = \sup_{z \in I_e} \left|\frac{1}{2}h_{p,s}(z) - f(z)\right| \ge 2^{-e},$$

where  $f = \lim_{s \to \infty} h_{\Theta_{e,s}(p)}$ . Thus we assume that at stage s,

(3.1) 
$$\sup_{z \in I_e} |h_{\Theta_{e,s}(p),s}(z) - 8e| \le 2^{-e+1}$$

The strategy  $N_e$  will then *act* as follows. Introduce a new interpretation  $h_{p,t}$  as described below. (Notice that  $h_{p,s}$  is equal to  $h_{p,2e}$  on the interval  $I_e$ , but not necessarily outside this interval.) Choose a (small) subinterval J of  $I_e$  such that for all current interpretations  $h_{i,s}$  and  $h_{j,s}$  of X introduced so far, we have:

- (i)  $h_{i,s}$  is linear within J, i.e.  $h_{i,s}$  has no breakpoints residing in J.
- (ii) There is no pair  $z_1, z_2 \in J$  such that  $h_{i,s}(z_1) = 8e$  and  $h_{i,s}(z_2) \neq 8e$ .
- (iii) If there is some  $z \in J$  such that  $h_{i,s}(z) = h_{j,s}(z)$  then  $h_{i,s} \upharpoonright_{I_e} = h_{j,s} \upharpoonright_{I_e}$ .

It is clear that J can be found effectively, since the construction has only looked at finitely many interpretations so far. Hence each  $h_{i,s}$  when restricted to J is either strictly monotonic and does not take value 8e, or else it is constant on J. Furthermore, each pair  $h_{i,s}$  and  $h_{j,s}$  is either equal or non-intersecting in the interval J.

Now pick z to be the midpoint of J. For every interpretation  $h_{i,s}$  such that  $h_{i,s}|_{I_e}$  is strictly above 8e, we set  $h_{i,s+1}(z) = 8e$ ,  $h_{i,s+1}(\min J) = h_{i,s}(\min J)$  and  $h_{i,s+1}(\max J) = h_{i,s}(\max J)$ . We linearly interpolate  $h_{i,s+1}$  within J and keep  $h_{i,s+1} = h_{i,s}$  unchanged outside J. This is illustrated by Figure 2.

Notice that this action only modifies each  $h_{i,s}$  on the interval  $I_e$ .

LEMMA 3.13. Distances between the approximations are preserved.

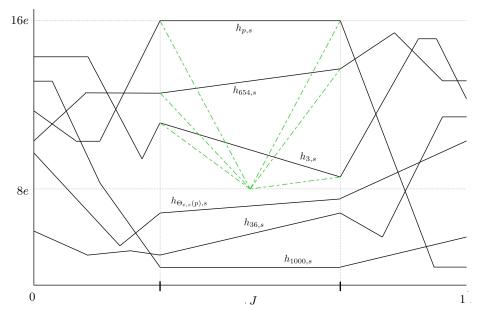


Fig. 2. The dashed lines indicate the modifications needed to get  $h_{i,s+1}$ .

*Proof.* Fix i, j. We will show that  $d(h_{i,s}, h_{j,s}) = d(h_{i,s+1}, h_{j,s+1})$ . Let  $m = |h_{i,s}(\min J) - h_{j,s}(\min J)|$  and  $M = |h_{i,s}(\max J) - h_{j,s}(\max J)|$ . Since there are no breakpoints of  $h_{i,s}$  and  $h_{j,s}$  in J, we clearly have

$$\sup_{v \in J} |h_{i,s}(v) - h_{j,s}(v)| = \max\{m, M\},\$$

and therefore it is sufficient to see that

$$\sup_{v \in J} |h_{i,s+1}(v) - h_{j,s+1}(v)| = \max\{m, M\}.$$

If both  $h_{i,s}$  and  $h_{j,s}$  are modified then this last equality follows easily from the fact that for every min  $J \leq v \leq z$  we have  $|h_{i,s}(v) - h_{j,s}(v)| \leq m$ , and for every  $z \leq v \leq \max J$  we have  $|h_{i,s}(v) - h_{j,s}(v)| \leq M$ . Suppose, on the other hand, that  $h_{i,s} \neq h_{i,s+1}$  and  $h_{j,s} = h_{j,s+1}$ . Then for every  $v \in J$  we have  $h_{i,s}(v) \geq h_{i,s+1}(v) \geq 8e \geq h_{j,s+1}(v) = h_{j,s}(v)$ . So we conclude that  $\sup_{v \in J} |h_{i,s+1}(v) - h_{j,s+1}(v)| = \max\{m, M\}$ .

LEMMA 3.14.  $N_e$  is satisfied.

Proof. If  $N_e$  never acts, it is clearly satisfied, so we assume it acts at stage s as above. Since no approximation will ever be changed again within  $I_e$  after  $N_e$  acts, we have  $h_p(z) = h_{p,s+1}(z) = 8e$  and  $h_{\Theta_{e,s}(p)}(z) = h_{\Theta_{e,s}(p),s+1}(z) = \min\{8e, h_{\Theta_{e,s}(p),s}(z)\}$ . By (3.1) we have  $h_{\Theta_{e,s}(p),s}(z) \ge 8e - 2^{-e+1}$ , and so  $h_{\Theta_{e,s}(p)}(z) \ge 8e - 2^{-e+1} > 7e$ . Now since  $\theta_{e,s}(p) > e$ , we see that  $f(z) > 7e - 2^{-e} > 6e > \frac{1}{2}h_p(z)$ . Hence  $f \neq \frac{1}{2}h_p$ .

Construction. We fix some effective ordering of the N-requirements. At stage s of the construction, we simply let the strategies of the first s requirements act according to their instructions. Next, if we do not see  $l_m$  among  $(h_{i,s})_{i\leq s}$  at stage  $s \geq m$ , we pick n least such that  $h_n$  has no approximation so far, and set  $h_{n,s} = l_m$ . This ends the construction.

Verification. We first show that the global requirements are met. For (1), fix *i*, and let *t* be the first stage at which  $h_i$  gets its first-ever approximation (namely  $h_{i,t}$ ). Let *D* be such that  $||h_{i,t}|| = d(0, h_{i,t}) < 8D$ . Only the strategies  $N_e$  where  $e \leq D$  can possibly change the approximation at a later stage. Furthermore, if t > s is such that  $h_{i,t} \neq h_{i,s}$ , then  $||h_{i,t}|| \leq ||h_{i,s}||$ . Every *N*-strategy acts at most once. Thus, there is a stage after which  $h_i$ will be set to its final value, and so (1) is met. By Lemma 3.13, (2) is met. Moreover, (3) holds because for each  $l_m$ , after a stage where  $N_0, \ldots, N_D$  no longer act, where  $||l_m|| < 8D$ , any fresh assignment of  $l_m$  to an  $h_i$  must be stable. Finally, notice that  $h_{0,s}$  is never modified during the construction, so the interpretation of **0** is computable.

This ends the proof of Theorem 3.10.  $\blacksquare$ 

4. C[0, 1] is not computably categorical as a Banach space. Recall that the signature of Banach spaces contains  $\mathbf{0}$ , +, and  $(r \cdot)_{r \in Q}$ . By Fact 2.10 we will assume that the signature only contains +, as all the other operations can be reconstructed effectively from +. Fact 2.10, Theorem 3.10 or [23, Theorem 5.2] provide us with a corollary which is interesting in its own right:

COROLLARY 4.1. There is a computable structure on  $(C[0,1], \sup)$  in which + is not computable.

In the following theorem we show that vector space operations do not effectively determine the multiplicative identity **1** in C[0, 1]. Similarly to Remark 3.11, we need to be careful in our terminology. We will build a computable structure Y on (C[0, 1], d, +). Let L stand for the computable structure consisting of rational p.l. functions on the same copy of (C[0, 1], d, +). Since cl(L) = cl(Y) = C[0, 1], every computable Banach space isomorphism  $\psi$  of cl(L) onto cl(Y), if it existed, would correspond to an automorphism of (C[0, 1], d, +). It is well-known (<sup>6</sup>) that classically every automorphism  $\psi$ of (C[0, 1], sup, +) is of the form

$$\psi f(x) = \delta(x) f(g(x)),$$

where  $x \in [0, 1]$ ,  $f \in C[0, 1]$ , the function  $\delta(x)$  is either the constant function 1 or the constant function -1, and the map g is a homeomorphism of [0, 1] onto itself. In fact, in the former case,  $\psi$  is a Banach algebra automorphism.

<sup>(&</sup>lt;sup>6</sup>) See, e.g., Dunford–Schwartz [8, Th. IV.6.26, p. 278].

Since the automorphism orbit of **1** is  $\{1, -1\}$ , we would have  $\psi \mathbf{1} \in \{1, -1\}$ . The function **1** is clearly a computable point with respect to L. Also, notice that + effectively determines -, thus **1** is computable if, and only if, -**1** is. Therefore, if we make sure that Y is a computable structure on (C[0, 1], d, +) such that **1** is not computable with respect to Y, then we will see that Y is not computably isometric to L (in the signature of Banach spaces).

THEOREM 4.2. There is a computable structure Y on (C[0,1], d, +) such that **1** is not a computable point with respect to this structure.

Theorem 4.2 implies:

COROLLARY 4.3. The space (C[0,1], d, +) is not computably categorical. Equivalently, C[0,1] is not computably categorical as a Banach space.

By Fact 2.15, we have:

COROLLARY 4.4. There is a computable structure Y on (C[0,1], d, +)such that  $\times$  is not a computable operation with respect to this structure.

We now prove the theorem.

**4.1. Proof idea.** We briefly explain the main intuitive idea behind the proof of Theorem 4.2. We will be building a computable structure Y containing  $\mathbf{0}$  as a special point. The reader may visualize the idea as follows. At every stage of the construction we will have finitely many special points enumerated into Y. At stage s, we think of each point from Y as a rational p.l. function. This will be our current interpretation of  $Y_s$  in the usual copy of C[0,1]. At a later stage we may, however, be forced to change our current interpretation due to the diagonalization requirements. We make 1 non-computable with respect to the new computable structure we are building. The main diagonalization strategy is illustrated in Figure 4 below. We change the previous interpretation "slightly", but this time preserving + (making sure that if the second element plus the 5th gave us the 7th, say, then the same will be true after the "slight" change). Because we will change our interpretation less and less at later stages, in the limit the interpretations will converge to some elements of C[0,1] which do not have to be rational p.l. functions. We will make sure that the structure is dense, and the usual operation + on C[0,1] is a computable operation with respect to this structure. Consequently, the closures of the standard computable structure and the new one will be (non-computably) isomorphic as Banach spaces via the identity map on C[0,1].

**4.2. Formal proof.** The rest of this section is devoted to the formal proof of Theorem 4.2. The argument has the same flavor as that for Theorem 3.10, but the main analytic strategy is different.

NOTATION 4.5. We fix a dense computable listing of rational p.l. functions  $\widehat{L} = (\widehat{l}_n)_{n \in \mathbb{N}}$  on [0, 1] satisfying the following additional property:

(4.1) There is a computable sequence of pairwise disjoint closed intervals  $\{J_m\}_{m\in\mathbb{N}}$  such that for every m and every  $i \leq m$ ,  $\hat{l}_i$  is constant on  $J_m$ .

To arrange for this, we also construct an auxiliary sequence of intervals  $\{I_m\}_{m\in\mathbb{N}}$ . For each m, we pick an interval  $I_m^* \subset I_{m-1}$  such that  $l_m$ has no breakpoints in  $I_m^*$ , and that  $|I_m^*| < 2^{-m}/C$ , where C is the maximum absolute value of the slope of any linear component of  $l_m$ . We also require that if  $l_m$  is constant on any subinterval of  $I_{m-1}$  then  $l_m$  is constant on  $I_m^*$ . Now we can modify  $l_m$  on  $I_m^*$  as follows. Let  $a = \min I_m^*$  and  $b = \max I_m^*$ . Set  $\hat{l}_m(z) = l_m(a)$  for every  $z \in [a, (a+b)/2]$  and join the points  $((a+b)/2, l_m(a))$  and  $(b, l_m(b))$  by a straight line. This is illustrated in Figure 3.

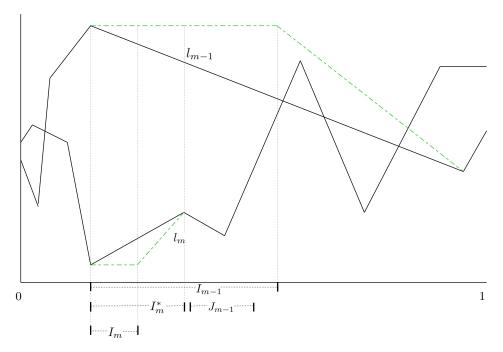


Fig. 3. The dashed lines indicate the modifications to  $l_m$  and  $l_{m-1}$ .

Clearly if  $l_m$  is constant on  $I_m^*$  then  $\hat{l}_m = l_m$ . Now let  $I_m$  be the left half of  $I_m^*$ , and  $J_{m-1}$  be any interval disjoint from  $I_m$  with  $J_{m-1} \subset I_{m-1}$ . Since  $\{J_m\}, \{I_m\}$  and  $\{I_m^*\}$  are computable sequences of closed intervals, we see that  $\{\hat{l}_i\}$  is a computable listing of rational p.l. functions (note that we can easily make  $\{\hat{l}_i\}$  a computable listing without repetition). Clearly (4.1) is satisfied since  $\hat{l}_i$  is constant on  $I_i$  which contains  $J_m$  as a subinterval for every  $m \geq i$ .

Lemma 4.6.

- (i) Suppose  $l_m$  is constant on some interval  $I_k$ . Then  $\hat{l}_m = l_m$ .

*Proof.* (i) Suppose that  $l_m$  is constant on  $I_k$ . If k < m then  $l_m$  is constant on  $I_m^* \subset I_k$  and so  $\hat{l}_m = l_m$ . If  $k \ge m$  then  $I_k \subseteq I_{m-1}$ , and since  $l_m$  is constant on a subinterval of  $I_{m-1}$  we would pick  $I_m^*$  disjoint from  $I_k$  or contained in  $I_k$ . The former is impossible.

(ii) Given  $\hat{l}_{m_0}$  and  $\hat{l}_{m_1}$  we can effectively find m such that  $l_m = \hat{l}_{m_0} + \hat{l}_{m_1}$ . Assuming that  $I_{m_0} \subseteq I_{m_1}$  we find that  $l_m$  is constant on  $I_{m_0}$ , so by (i) we can take  $h(m_0, m_1) = m$ .

By Lemma 4.6(ii),  $\hat{L}$  is a computable structure on C[0, 1] in the signature of Banach spaces. Notice that **1** is a special point in  $\hat{L}$ .

LEMMA 4.7. The computable structures L and  $\hat{L}$  are computably isometric in the signature of Banach spaces via the identity map.

*Proof.* Clearly,  $\sup |l_i(z) - \hat{l}_i(z)| < 2^{-i}$  for each i, by the choice of  $|I_i^*|$ . It is then straightforward to check that each  $l_n$  is a computable point of  $\hat{L}$  (uniformly in n). Hence the identity map from  $\hat{L}$  to L is an onto isometry (in the closure) preserving +.

We will henceforth, in this proof, use  $\hat{L}$  instead of L as the "nice" structure on C[0, 1]; due to Lemma 4.7, the proof of Theorem 4.2 will still work with  $\hat{L}$  instead of L.

**4.3. Strategies.** We build a computable double sequence of rational p.l. functions  $(h_{i,s})_{i,s\in\mathbb{N}}$  from  $\widehat{L}$ . We define  $Y = (h_i)_{i\in\mathbb{N}}$ . We ensure that the map  $h_i = \lim_s h_{i,s}$  is an isometry taking Y to  $\widehat{L}$ . To this end we need to maintain the following global requirements:

- (1) For every i,  $\lim_{s} h_{i,s}$  exists in  $cl(\widehat{L})$ .
- (2) For any *i*, *j* and *s*, we have  $d(h_{i,s}, h_{j,s}) = d(h_{i,s+1}, h_{j,s+1})$ .
- (3) For any *i*, *j*, *k* and *s*, we have  $h_{i,s} + h_{j,s} = h_{k,s} \Rightarrow h_{i,s+1} + h_{j,s+1} = h_{k,s+1}$ .

(4) For each m and each e, there is k such that  $d(\lim_{s} h_{k,s}, \hat{l}_m) \leq 2^{-e}$ .

It will also be explicit in the construction that Y is effectively closed under +. These global requirements ensure that Y is a computable structure on (C[0, 1], d, +), and  $\phi$  is an onto isometry preserving +, since  $\phi$  is an onto

isometry of finite structures preserving + at each finite stage. For simplicity, we abuse our notation and (notationally) identify  $h_i$  with its limiting  $\hat{L}$ -interpretation  $h_i = \lim_{s} h_{i,s}$ .

Recall Notation 3.5. The main diagonalization requirement is

 $N_e: \Theta_e(0)$  does not represent **1** in Y with respect to  $(h_i)_{i \in \mathbb{N}}$ .

If we meet  $N_e$  for every e, then **1** will not be computable in Y.

Strategy for  $N_e$ . Wait for a stage s at which  $\Theta_{e,s}(0)$  outputs a number x such that

$$d(h_{x,s}, \mathbf{1}) \le 2^{-e+1}$$

and  $\theta_{e,s}(0) > e$ . Notice that at every stage we are dealing with elements of  $\hat{L}$  in which **1** is a special point of  $\hat{L}$ . Thus, the inequality above can be checked effectively at every stage. If we ever see such s and x, we effectively choose a closed subinterval  $J = J_{k_0}$ , for some fresh  $k_0$  we have never before used, at which all interpretations we have introduced so far are constant functions (recall Notation 4.5). We moreover assume  $k_0$  is large enough so that all interpretations are constant on  $I_{k_0}$  as well.

Let  $h_{0,s}, \ldots, h_{n,s}$  be all interpretations we have introduced so far. We now modify them as follows. Let  $a = \min J$ ,  $b = \max J$  and z be the midpoint of J. For each i we set  $h_{i,s+1}(z) = (1 - 2^{-e+3})h_{i,s}(a)$ ,  $h_{i,s+1}(a) = h_{i,s}(a)$  and  $h_{i,s+1}(b) = h_{i,s}(b)$ , and make  $h_{i,s+1}$  linear on  $J - \{z\}$ . We keep  $h_{i,s+1} = h_{i,s}$ outside J. This is illustrated in Figure 4.

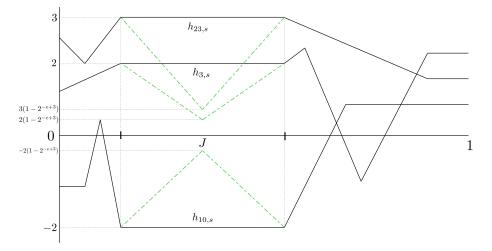


Fig. 4. The dashed lines indicate the modifications needed to obtain  $h_{i,s+1}$ .

For each *i* we can effectively obtain an index *m* such that  $l_m = h_{i,s+1}$ . Since  $l_m$  is constant on  $I_{k_0+1}$ , we apply Lemma 4.6(i) to get  $\hat{l}_m = l_m$ . We then let  $\hat{l}_m$  be the new interpretation of  $h_i$ . In this case we say that the strategy  $N_e$  acts. We will never modify any  $h_i$  within  $J = J_{k_0}$  again.

LEMMA 4.8. Distances and the operation + are preserved under this action.

*Proof.* Let 
$$c = 1 - 2^{-e+3}$$
. For any *i*, we have  
$$h_{i,s+1}(x) = \begin{cases} \frac{(c-1)h_{i,s}(a)}{z-a}(x-a) + h_{i,s}(a) & \text{if } a \le x \le z, \\ \frac{(1-c)h_{i,s}(a)}{b-z}(x-b) + h_{i,s}(a) & \text{if } z < x < b. \end{cases}$$

Fix i, j. We argue that  $d(h_{i,s}, h_{j,s}) = d(h_{i,s+1}, h_{j,s+1})$ . Suppose that  $h_{i,s}(a) \ge h_{j,s}(a)$ . It is straightforward to check that for every  $x \in J$ , we have  $0 \le h_{i,s+1}(x) - h_{j,s+1}(x) \le h_{i,s}(a) - h_{j,s}(a)$ . Hence distances are preserved. Next we fix i, j, k and assume that  $h_{i,s}(a) + h_{j,s}(a) = h_{k,s}(a)$ . It is routine to check that for any  $x \in J$  we have  $h_{i,s+1}(x) + h_{j,s+1}(x) = h_{k,s+1}(x)$ .

**4.4. Construction.** At stage  $s = \langle p, q, r \rangle$ , let the first s many N-strategies act according to their instructions. Next, if q is odd we set q' = p, and if q is even we set q' to be a number such that  $\hat{l}_{q'} = h_{r_0,s} + h_{r_1,s}$ , where  $r = \langle r_0, r_1 \rangle$ . Now for this q' we check whether  $\hat{l}_{q'}$  is among  $(h_{i,s})_{i \leq s}$ . If it is not, we pick n least such that  $h_n$  has no approximation so far and set  $h_{n,s} = \hat{l}_{q'}$ .

Declare distances and + on the finite set  $\{h_i\}_{i \leq n}$  accordingly; that is, if  $h_{i,s} + h_{j,s} = h_{k,s}$  for some  $i, j, k \leq n$ , we declare that  $h_i + {}^Y h_j = h_k$  if such a definition does not already exist in the structure Y. This ends the construction.

## 4.5. Verification

LEMMA 4.9.  $Y = (h_i)_{i \in \mathbb{N}}$  is a computable structure.

*Proof.* For any  $i \neq j$ , the distance  $d(h_i, h_j)$  is declared at the first stage s where both  $h_{i,s}$  and  $h_{j,s}$  are defined, and it is non-zero because, by construction,  $h_{i,s} \neq h_{j,s}$ . Now consider a stage s where both  $h_{i,s}$  and  $h_{j,s}$  are defined, and let p be such that  $\hat{l}_p = h_{i,s} + h_{j,s}$  (this p exists by Lemma 4.6(ii)). Now by the construction  $h_i + h_j$  must receive a definition at or before stage  $\langle p, 2s, \langle i, j \rangle \rangle$ .

LEMMA 4.10. The global requirements are satisfied.

*Proof.* For each i let  $M_i = ||h_{i,t_0}||$  where  $t_0$  is the first stage where  $h_i$  is given an interpretation. Requirement  $N_e$  acts at most once during the construction, and if it acts at some stage s then  $d(h_{i,s}, h_{i,s+1}) \leq 2^{-e+3}M_i$  for each i. Now it follows that for each e there is a stage  $s_e$  of the construction such that for every  $t > t' \geq s_e$ , and every i, we have  $d(h_{i,t}, h_{i,t'}) < 2^{-e}M_i$ .

This means that the global requirement (1) is satisfied, since the sequence  $\{h_{i,t}\}_{t\in\mathbb{N}}$  is a Cauchy sequence in  $\operatorname{cl}(\widehat{L})$ . The global requirements (2) and (3) follow from Lemma 4.8. For (4) we fix m, e. Let e' be large enough so that  $2^{-e'}\|\widehat{l}_m\| < 2^{-e}$ , and consider a stage of the form  $s' = \langle m, 2q+1, r \rangle > s_{e'}$  for some q, r. The construction at this stage ensures that  $\widehat{l}_m = h_{k,s'}$  for some k. Then  $d(\lim_s h_{k,s}, \widehat{l}_m) = d(\lim_s h_{k,s}, h_{k,s'}) \leq 2^{-e'}M_k < 2^{-e}$ .

LEMMA 4.11. The requirement  $N_e$  is satisfied.

Proof. Clearly if  $N_e$  never acts then it is satisfied, so we assume that  $N_e$  acts at a stage s, when it sees that  $d(h_{x,s}, \mathbf{1}) \leq 2^{-e+1}$ , and  $\theta_{e,s}(0) > e$ . The requirement then proceeds to adjust  $h_{x,s+1}$  on the interval J. We have  $h_{x,s}(a) \leq 1 + 2^{-e+1}$ , and so  $h_{x,s+1}(z) = (1 - 2^{-e+3})h_{x,s}(a) < 1 - 2^{-e+2}$ . Since  $h_{x,t}$  is never again modified in J, we have  $d(h_x, \mathbf{1}) > 2^{-e+2}$ . Since  $\theta_{e,s}(0) > e$ , we have  $d(\lim_t h_{\Theta_{e,t}(0)}, h_x) \leq 2^{-e+1}$  (in the closures of both structures Y and  $\hat{L}$ , since they are isometric). Thus in  $cl(\hat{L})$  we must have  $d(\lim_t h_{\Theta_{e,t}(0)}, \mathbf{1}) > 2^{-e+1}$ , and so we cannot have  $\lim_t h_{\Theta_{e,t}(0)} = \mathbf{1}$  in cl(Y).

Notice that the convergence of the interpretations  $(h_{i,s})_{s\in\mathbb{N}}$  is not necessarily effectively rapid. For instance, we can assign Cauchy names to the points **1** and  $-\mathbf{1}$  only with the help of the Halting problem.

This concludes the proof of Theorem 4.2.

5. C[0,1] is not computably categorical as a Banach algebra. Recall that the signatures of Banach algebras include the symbol for the metric, the usual vector space operations, the multiplication symbol, and symbols for the additive identity **0** and the multiplicative identity **1**.

By Fact 2.15, we can replace the usual Banach algebra signature by the simple one including only + and × (and the metric). Suppose we wish to show that C[0, 1] is computably categorical in the language of Banach algebras. Then, given any computable structure Y and the nice structure L on C[0, 1], we have to be able to effectively and uniformly map each special point of L to a computable point of cl(Y). However, the trouble with doing so is that, even if we know that all the operations in the signature of Banach algebras are computable with respect to Y, it is still not clear how we can effectively approximate, say, an isomorphic image of the rational polynomial  $x^3 - 1/2$  with respect to Y.

However, if we add a distinguished element representing the monomial f(x) = x to the signature, then we can simply write down images of the polynomials over  $\mathbb{Q}$  using the symbols of our signature. Thus, we have:

FACT 5.1. The space C[0,1] is computably categorical in the language of Banach algebras with an extra distinguished symbol for the function f(x) = x.

*Proof.* Given the nice computable structure  $\mathcal{P}$  of all rational-valued polynomials, and a computable structure Y on C[0,1], we can define an isometry  $\phi: \mathcal{P} \to Y$  mapping  $\sum_n r_n z^n \in \mathcal{P}$  to  $\sum_n r_n \phi(x)^n \in \operatorname{cl}(Y)$ . The image  $\phi(\sum_n r_n z^n)$  can be uniformly rapidly approximated with respect to Y.

In fact, we will show that the space C[0, 1] is *not* computably categorical in the language of Banach algebras, by constructing a computable structure Z in which, roughly speaking, the "identity function f(x) = x" is a noncomputable point of Z.

We have already mentioned the description of automorphisms of  $(C[0, 1], d, +, \times)$ . As a consequence of this description, the automorphism orbit of the identity function f(x) = x contains exactly the homeomorphisms of [0, 1] onto itself. (The image of f(x) is  $\delta(x)f(g(x)) = \delta(x)g(x)$ , where g(x) is a homeomorphism of [0, 1] onto itself, but because **1** is in the signature and  $\mathbf{1}(x) = \delta(x)\mathbf{1}(g(x)) = \delta(x)$ , we can omit  $\delta$ .) In other words, it contains exactly the strictly monotonic continuous functions of norm 1 and equal to zero at one of the end-points.

DEFINITION 5.2. Let  $\mathcal{Q} = (q_i)_{i \in \mathbb{N}}$  be a dense effective sequence of continuous piecewise polynomial functions with rational coefficients (abbreviated to p.p. functions) with finitely many rational breakpoints, without repetitions. That is, each  $q_i \in C[0, 1]$  is defined piecewise on intervals  $I_1, \ldots, I_{n_i}$ where  $[0, 1] = I_1 \cup \cdots \cup I_{n_i}$ , and for each interval  $I_m, q_i = p_i^m$  on  $I_m$ , where  $(p_i^m)_{i,m}$  is a computable collection of rational-valued polynomials.

It is clear that  $\mathcal{Q}$  is a computable structure on C[0,1] in the language of Banach spaces. Furthermore, the set  $\mathcal{Q} = (q_i)_{i \in \mathbb{N}}$  is effectively closed under addition and multiplication (unlike the structures L and  $\hat{L}$ , where multiplication is only computable in the limit structures cl(L) and  $cl(\hat{L})$ ).

It follows from the theorem below that the difficulty discussed before Fact 5.1 cannot be circumvented, and adding a constant symbol for the identity function is necessary in Fact 5.1.

THEOREM 5.3. There is a computable structure Z on  $(C[0,1],+,\times)$  and an onto isometry  $\phi : \operatorname{cl}(Z) \to \operatorname{cl}(Q)$  (preserving all Banach algebra operations) such that given any strictly monotonic function  $f \in C[0,1], \phi^{-1}(f)$ is not a computable point with respect to Z.

In contrast to all our previous results, the map  $\phi$  in the above theorem is not simply the identity map. In fact,  $cl(Z) = C[0, \alpha]$  for some positive left-c.e. real  $\alpha$ , and  $\phi$  is the Banach algebra isomorphism induced by a certain homeomorphism of  $[0, \alpha]$  onto [0, 1]. In the proof, we will be constructing a computable structure on  $(C[0, \alpha], +, \times)$  which will of course correspond to a computable structure on  $(C[0, 1], +, \times)$  via the map  $\phi$ . (More specifically, consider the collection of all  $\phi$ -images of special points of the structure.) We obtain the following important corollary:

COROLLARY 5.4. The space C[0,1] is not computably categorical as a Banach algebra.

*Proof.* The computable structure Z from the preceding theorem cannot be computably isometric to  $\mathcal{Q}$ . Suppose  $\psi : \operatorname{cl}(\mathcal{Q}) \to \operatorname{cl}(Z)$  were a computable isometry. Let f(x) = x be the identity function. Then  $\phi \psi f$  would have to be a strictly monotonic function. Since f is a special point of  $\mathcal{Q}$ and  $\psi$  is a computable isometry, this means that  $\psi f$  is a computable point of Z, contradicting Theorem 5.3.

The rest of this section is devoted to the proof of Theorem 5.3.

**5.1. Proof idea.** Notice that the vector space operations together with pointwise multiplication compute the constant function  $\mathbf{r}$  for every  $r \in Q$  (which outputs r at every point  $x \in [0, 1]$ ). Thus, there is little hope to do any local vertical "distortion" of functions as we have done in Theorems 4.2 and 3.10, because any such strategy will cause  $\phi^{-1}(\mathbf{r})$  to be a non-computable point of Z. Therefore we will have to use a strategy which is quite different from the previous arguments.

The key idea is in "going horizontal" instead. As usual, we are building a computable structure  $Z = (h_i)_{i \in \mathbb{N}}$  and maintaining a stage by stage interpretation  $h_{i,s}$  of  $h_i$  in some nice structure. We wait for the *e*th potential approximation to declare that it is an isometric preimage of a monotonic function with a good precision. The strategy will retarget  $h_{i,s}$  so that this *e*th potential approximation is incorrect. The reader might first try the following naive strategy: Pick some interval  $[1 - \delta, 1]$  and "reflect"  $h_{i,s}$  on the interval  $[1 - 2\delta, 1 - \delta]$  to the interval  $[1 - \delta, 1]$  for every interpretation introduced so far. That is, define

$$h_{i,s+1}(x) = \begin{cases} h_{i,s}(x) & \text{if } x < 1 - \delta, \\ h_{i,s}(2 - 2\delta - x) & \text{if } x \ge 1 - \delta, \end{cases}$$

which is illustrated in Figure 5.

This naive strategy clearly kills off the *e*th potential approximation, since  $h_{s+1}$  does not look close to any strictly monotonic function, and will preserve the computability of constant functions. In fact, this strategy preserves at each stage the operations + and ×. Unfortunately it does not preserve distances, in the sense that  $d(h_{i,s}, h_{j,s})$  need not be the same as  $d(h_{i,s+1}, h_{j,s+1})$ .

We modify this naive strategy as follows. Notice that for each real  $\alpha \geq 1$ , the space  $C[0, \alpha]$  is isometric to C[0, 1] via the natural map which stretches or compresses the *x*-axis, i.e. the map  $\phi_{\alpha}$  that maps each  $f \in C[0, \alpha]$  to

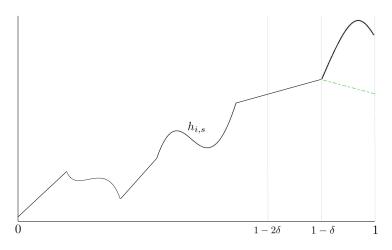


Fig. 5. The naive strategy: The dashed lines indicate the modifications needed to get  $h_{i,s+1}$ .

 $f(\cdot \alpha) \in C[0, 1]$ , and which clearly preserves all pointwise properties of functions. So we *could* construct Z to be a subspace of  $C[0, \alpha]$  instead of C[0, 1]. To wit, we would allow the diagonalization strategy to enlarge the interval by increasing  $\alpha_{s+1} > \alpha_s$ , and reflecting the graph of  $h_{i,s}$  on the interval  $[2\alpha_s - \alpha_{s+1}, \alpha_s]$  to the interval  $[\alpha_s, \alpha_{s+1}]$ :

$$h_{i,s+1}(x) = \begin{cases} h_{i,s}(x) & \text{if } x \le \alpha_s, \\ h_{i,s}(\alpha_s - z) & \text{if } x = \alpha_s + z \text{ for } z > 0. \end{cases}$$

This is illustrated in Figure 6.

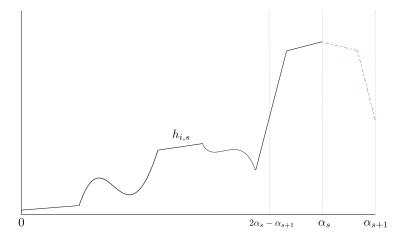


Fig. 6. The main strategy: The dashed lines indicate the modifications needed to get  $h_{i,s+1}$ .

This strategy now clearly preserves all Banach algebra operations, since all operations are evaluated pointwise, and it kills off the *e*th potential approximation for a strictly monotonic function.

Since our construction has to be effective and we require the structure Z we build to be computable, we need to be somewhat careful with how we set up the construction. Given any (index for a) left-c.e. increasing approximation  $\{\alpha_s\}_{s\in\mathbb{N}}$  of a c.e. real  $1 \leq \alpha \leq 2$ , we can effectively obtain (an index for) the computable structure  $\mathcal{Q}_{\alpha} = (q_i^{\alpha})_{i\in\mathbb{N}}$  on  $C[0,\alpha]$  which consists of all rational p.p. functions on  $[0,\alpha]$  which are constant on some interval  $[\alpha - \delta, \alpha]$  for some  $\delta > 0$ . This is because each time  $\alpha_s$  increases we can begin enumerating rational p.p. functions with breakpoints  $\alpha_{s-1} \leq r < \alpha_s$ . Each function enumerated in the structure  $\mathcal{Q}_{\alpha}$  is computably specified even though we never know the actual value of  $\alpha$  to any reasonable precision, because each function is declared constant on the rightmost piece. Furthermore, it is easy to see that distance  $d(q_i^{\alpha}, q_j^{\alpha})$  is computable, and that the set  $(q_i^{\alpha})_{i\in\mathbb{N}}$  is effectively closed under + and  $\times$ .

In the formal construction we will build a structure Z and an approximation  $\{\alpha_s\}$  of the left-c.e. real  $\alpha$ . Let  $\widehat{\mathcal{Q}} = \mathcal{Q}_{\alpha}$ . For completeness we mention a technical issue here; the less recursion-theoretic inclined reader may ignore the subsequent comment:

REMARK 5.5. We could build  $\{\alpha_s\}$  and  $\widehat{\mathcal{Q}}$  simultaneously during the construction, because to compute distances, + and  $\times$  on elements of  $\widehat{\mathcal{Q}} = \mathcal{Q}_{\alpha}$  seen at stage *s* will only require the value of  $\alpha_s$ , and we never need to look ahead. Alternatively, for a slicker approach we can build  $\{\alpha_s\}$  and assume by the Recursion Theorem that we are given, during the construction, an index for  $\widehat{\mathcal{Q}} = \mathcal{Q}_{\alpha}$ .

In this proof we will take  $\widehat{\mathcal{Q}}$  instead of  $\mathcal{Q}$  as the nice structure. We build  $Z = (h_i)_{i \in \mathbb{N}}$  and a stage by stage interpretation  $h_{i,s} \in \widehat{\mathcal{Q}}$  of  $h_i$ . At the end we take  $h_i = \lim_{s \to i_{s,s}} h_{i,s}$ .

Before we begin the formal proof, we mention that there will be several technical issues in the verification of the construction. The first difficulty is that for each  $h_i$  we will have infinitely many strategies enlarging the interval and retargetting  $h_i$  as described in Figure 6. Suppose the *e*th strategy is allowed to enlarge the interval by  $\epsilon_e$ . If we are not careful, we may end up with  $h_i(x)$  having no limit as  $x \to \alpha$ , for instance, if the total variation is too large. To get around this difficulty we have to choose  $\sum_{j>e} \epsilon_j$  to be much smaller than  $\epsilon_e$ , and argue using a careful analysis of the total possible variation. The second difficulty is to show that  $\phi$  is a map onto the closure  $cl(\hat{Q})$ . We will again use the notion of variation to show that the construction makes  $\phi(Y)$  dense in  $cl(\hat{Q})$ .

**5.2.** Strategies. As before, d stands for the supremum metric. We fix a computable sequence  $(\epsilon_e)_{e \in \mathbb{N}}$  of positive rationals such that

$$2^{-e} > \sum_{j>e} \epsilon_j.$$

The strategy for each  $N_e$  (to be defined) will be to *act* at most once during the construction. Let  $E = \{e : N_e \text{ acts at some stage}\}$  and  $E_s = \{e : N_e \text{ acts at a stage} < s\}$ . We set  $\alpha = 1 + \sum_{e \in E} \epsilon_e$  and  $\alpha_s = 1 + \sum_{e \in E_s} \epsilon_e$ . The real  $\alpha = \lim_s \alpha_s$  is left-c.e.

We fix an enumeration  $(\widehat{q}_m)_{m\in\mathbb{N}}$  of  $\widehat{\mathcal{Q}}$ . At stage *s* we may assume, by Remark 5.5, that the functions  $\widehat{q}_m$  for  $m \leq s$  all have breakpoints smaller than  $\alpha_s$  and are constant on  $[\alpha_s, \alpha]$ . At every stage *s* we have  $h_{i,s} \in \widehat{\mathcal{Q}}_s =$  $(\widehat{q}_m)_{m\leq s}$ , but  $h_i = \lim_s h_{i,s}$  does not have to be an element of  $\widehat{\mathcal{Q}}$ . However we will ensure that at every *i* and *s*,  $h_{i,s} \upharpoonright_{[0,\alpha_s]} = h_{i,s+1} \upharpoonright_{[0,\alpha_s]}$ . That is, every modification made at stage *s* is on the interval  $(\alpha_s, \alpha_{s+1}]$ .

We have to meet the following global requirements:

- (1) For every  $i, h_i = \lim_{s \to i, s} h_{i,s}$  exists in  $cl(\hat{Q})$ .
- (2) For any *i*, *j* and *s*, we have  $d(h_{i,s}, h_{j,s}) = d(h_{i,s+1}, h_{j,s+1})$ .
- (3) For any i, j, k and s, we have  $h_{i,s} + h_{j,s} = h_{k,s} \Rightarrow h_{i,s+1} + h_{j,s+1} = h_{k,s+1}$ .
- (4) For any *i*, *j*, *k* and *s*, we have  $h_{i,s} \times h_{j,s} = h_{k,s} \Rightarrow h_{i,s+1} \times h_{j,s+1} = h_{k,s+1}$ .
- (5) For each m and each e, there is some k such that  $d(\lim_{s} h_{k,s}, \widehat{q}_m) \leq 2^{-e}$ .

We will also explicitly make the structure closed under the operations + and ×. These global requirements ensure that cl(Z) and  $cl(\hat{Q})$  are isometric via  $\phi$  preserving + and ×, since these operations are preserved at every stage. Hence cl(Z) and cl(Q) are isometric in the language of Banach algebras.

The key set of requirements to meet are:

 $N_e: \Theta_e(0)$  is not a fast Cauchy name in Z for (the preimage of) a strictly monotonic function in C[0, 1].

Collectively the requirements  $N_e$  clearly imply that for any strictly monotonic function  $f \in C[0, 1]$ ,  $(\phi_{\alpha}\phi)^{-1}(f)$  is not a computable point of Z. The strategy for  $N_e$  will ensure that  $\lim_{s} h_{\Theta_{e,s}(0)}$  is not a strictly monotonic function in  $C[0, \alpha]$ . This ensures that  $N_e$  is met because  $\phi_{\alpha}$  is simply a scaling of the x-axis.

Strategy for  $N_e$ . Wait for a stage s such that for some  $t \leq s$ ,  $\Theta_{e,t}(0)$  outputs a number n where

$$|h_{n,s}(\alpha_s - \epsilon_e) - h_{n,s}(\alpha_s)| > 2^{-\theta_{e,t}(0)+3}.$$

That is, at stage s we find that  $\Theta$  outputs an index n (possibly at a past stage t < s) for which  $h_{n,s}(\alpha_s - \epsilon_e)$  and  $h_{n,s}(\alpha_s)$  now look sufficiently far apart. Note that  $d(h_n, \lim_s h_{\Theta_{e,s}(0)}) < 2^{-\theta_{e,t}(0)}$ .

If such a stage s is found, we set  $\alpha_{s+1} = \alpha_s + \epsilon_e$  and for every interpretation  $h_{i,s}$  introduced so far, redefine it as in Figure 6. That is, we set

$$h_{i,s+1}(x) = \begin{cases} h_{i,s}(x) & \text{if } x \le \alpha_s, \\ h_{i,s}(\alpha_s - z) & \text{if } x = \alpha_s + z \text{ for } z \in (0, \epsilon_e]. \end{cases}$$

We say in this case that the strategy *acts*. This strategy will never have to act again. Notice that any modification to  $h_{i,s}$  at stage s leaves the values of  $h_{i,s}(x)$  for  $x \leq \alpha_s$  untouched.

LEMMA 5.6. Distances and the operations + and  $\times$  are preserved under this action.

*Proof.* Fix i, j. Since  $h_{i,s}, h_{j,s}$  are constant on the interval  $[\alpha_s, \alpha]$ , we have

$$d(h_{i,s}, h_{j,s}) = \sup_{x \in [0,\alpha_s]} |h_{i,s}(x) - h_{j,s}(x)|.$$

Since  $\sup_{x \in [\alpha_s, \alpha_{s+1}]} |h_{i,s+1}(x) - h_{j,s+1}(x)| \le \sup_{x \in [0,\alpha_s]} |h_{i,s}(x) - h_{j,s}(x)|$ , we clearly have  $d(h_{i,s+1}, h_{j,s+1}) = d(h_{i,s}, h_{j,s})$ . It is also straightforward to check that the operations + and  $\times$  (being evaluated pointwise) are preserved under this action.

**5.3.** Construction. At stage  $s = \langle p, q, r \rangle$ , let the strategy  $N_e$  for the least e < s which requires action act according to the strategy above.

Next, if  $q \equiv 0 \pmod{3}$  we set m = p, and if  $q \equiv 1 \pmod{3}$  we set mto be a number such that  $\hat{q}_m = h_{r_0,s} + h_{r_1,s}$ , where  $r = \langle r_0, r_1 \rangle$ . Finally, if  $q \equiv 2 \pmod{3}$  we set m to be a number such that  $\hat{q}_m = h_{r_0,s} \times h_{r_1,s}$ , where  $r = \langle r_0, r_1 \rangle$ . Note that this m can be found at stage s, since the finite structure  $\hat{Q}_s$  is effectively closed under + and  $\times$ . Now for this m we check whether  $\hat{q}_m$  is among  $(h_{i,s})_{i \leq s}$ . If it is not, we pick n least such that  $h_n$  has no approximation so far and set  $h_{n,s} = \hat{q}_m$ .

Declare distances, + and  $\times$  on the finite set  $\{h_i\}_{i\leq n}$  accordingly; that is, if  $h_{i,s} + h_{j,s} = h_{k,s}$  for some  $i, j, k \leq n$ , we declare that  $h_i + {}^Y h_j = h_k$  if such a definition does not already exist in the structure Y. Similarly for  $\times$ . This ends the construction.

## 5.4. Verification

LEMMA 5.7.  $Z = (h_i)_{i \in \mathbb{N}}$  is a computable structure.

*Proof.* This is proved the same way as Lemma 4.9.

The tedious part of the verification is to estimate, for each i and each s, the distance  $d(h_{i,s}, h_{i,s+1})$ . To do so we have to analyze carefully the actions

taken during the construction. For each i we let  $s_i$  be the first stage such that  $h_i$  is given an interpretation. Given a closed interval  $J \subseteq [0, \alpha_{s_i}]$  we let  $D(J,i) = \sup_{z \in J} h_{i,s_i}(z) - \inf_{z \in J} h_{i,s_i}(z)$ . Since  $h_{i,s_i}$  is piecewise continuously differentiable (with only finitely many pieces), we let  $C_i = \sup |h'_{i,s_i}(z)|$ , where the supremum is taken over all points  $z \in [0, \alpha_{s_i}]$  such that z is not a breakpoint. It is easy to check, by the Mean Value Theorem, that:

FACT 5.8. For any  $J \subseteq [0, \alpha_{s_i}]$ , we have  $D(J, i) \leq C_i |J|$ .

Now we prove the following technical lemma about the construction:

LEMMA 5.9. Given any i, and any  $s \ge s_i$ , there exists a sequence of closed intervals  $J_0^s, \ldots, J_{k_s}^s$  such that the following conditions hold:

- (i) For every  $j \leq k_s$ , we have  $J_j^s \subset [0, \alpha_{s_i}]$  and  $\sum_{j \leq k_s} |J_j^s| = \alpha_s$ . (ii) For every  $j \leq k_{s-1}$ , we have  $J_j^s = J_j^{s-1}$ .
- (iii) For each  $j \leq k_s$ , either

or

(1)  $h_{i,s} \upharpoonright_{\widehat{J}_i^s} = h_{i,s_i} \upharpoonright_{J_i^s}$ , in the sense that

 $h_{i,s}(\min \widehat{J}_i^s + z) = h_{i,s_i}(\min J_i^s + z) \quad \text{for every } z \le |J_i^s|,$ 

(2)  $h_{i,s} \upharpoonright_{\widehat{J}_i^s}$  is the mirror image of  $h_{i,s_i} \upharpoonright_{J_i^s}$ , in the sense that

$$\begin{aligned} h_{i,s}(\min \widehat{J}_j^s + z) &= h_{i,s_i}(\max J_j^s - z) \quad \text{for every } z \le |J_j^s|, \\ \text{where } \widehat{J}_j^s &= \left[\sum_{j' < j} |J_{j'}^s|, \sum_{j' \le j} |J_{j'}^s|\right]. \end{aligned}$$

*Proof.* Fix *i*. We proceed by a straightforward induction on  $s \ge s_i$ . For  $s = s_i$  simply take  $J_0^s = [0, \alpha_{s_i}]$ . The induction step then follows easily because  $h_{i,s+1}$  is obtained from  $h_{i,s}$  by keeping  $h_{i,s}|_{[0,\alpha_s]}$  unchanged and then reflecting  $h_{i,s} \upharpoonright_{[2\alpha_s - \alpha_{s+1}]}$  over to  $[\alpha_s, \alpha_{s+1}]$ .

Specifically, assume that at stage s we have the sequence  $J_0^s, \ldots, J_{k_s}^s$ . Suppose the construction at s increases  $\alpha_s$  to  $\alpha_{s+1}$ , where  $2\alpha_s - \alpha_{s+1} \in \widehat{J}_{j_0}^s$ for some  $j_0 \leq k_s$ . We may assume that  $2\alpha_s - \alpha_{s+1}$  is not an end-point of  $\widehat{J}_{j_0}^s$ ; the other case is easy. Now let  $k_{s+1} = k_s + (k_s - j_0 + 1)$ . Let  $J_j^{s+1} = J_j^s$ for every  $j \leq k_s$  and  $J_{k_s+j+1}^{s+1} = J_{k_s-j}^s$  for each  $j < k_s - j_0$ . Finally, we will set  $J_{k_{s+1}}^{s+1}$  equal to the right subinterval of  $J_{j_0}^s$  of the appropriate length  $(= \max \widehat{J}_{j_0}^s - (2\alpha_s - \alpha_{s+1}))$  if  $h_{i,s} \upharpoonright_{\widehat{J}_{j_0}^s} = h_{i,s_i} \upharpoonright_{J_{j_0}^s}$ . On the other hand, if  $h_{i,s} \upharpoonright_{\widehat{J}_{j_0}^s}$  is the mirror image of  $h_{i,s_i} \upharpoonright_{j_0}$  then we set  $J_{2k_s-j_0+1}^{s+1}$  equal to the left subinterval of  $J_{j_0}^s$  of the same length  $(= \max \widehat{J}_{j_0}^s - (2\alpha_s - \alpha_{s+1}))$ .

LEMMA 5.10. Suppose  $N_e$  acts at some stage s. Then for each i where  $s_i \leq s$ , we have  $d(h_{i,s}, h_{i,s+1}) \leq \epsilon_e C_i$ .

Proof. Let  $z_j = \min \widehat{J}_j^{s+1}$ . Since the functions are continuous, let  $\tilde{z} \geq \alpha_s$  be the point where  $d(h_{i,s}, h_{i,s+1}) = |h_{i,s+1}(z_{k_s+1}) - h_{i,s+1}(\tilde{z})|$ . Hence  $d(h_{i,s}, h_{i,s+1}) \leq |h_{i,s+1}(z_{k_s+1}) - h_{i,s+1}(z_{k_s+2})| + |h_{i,s+1}(z_{k_s+2}) - h_{i,s+1}(z_{k_s+3})| + \cdots + |h_{i,s+1}(z_{k_s+p}) - h_{i,s+1}(\tilde{z})|$ , where  $\tilde{z} \in \widehat{J}_p^{s+1}$ . Now by Lemma 5.9 this is bounded by

$$\sum_{k_s < r \le k_{s+1}} D(J_r^{s+1}, i).$$

By Fact 5.8 this in turn is bounded by

$$\sum_{k_s < r \le k_{s+1}} C_i |J_r^{s+1}| = C_i \epsilon_e. \blacksquare$$

Lemma 5.10 provides the necessary upper bound to proceed with the rest of the verification.

LEMMA 5.11. The global requirements are satisfied.

*Proof.* The global requirements (2)–(4) follow from Lemma 5.6. To check (1), fix *i*. For every *e* there is a stage s' after which no requirement  $N_{e'}$  for  $e' \leq e$  acts. Then for every stage  $t > t' \geq s'$ , we deduce by Lemma 5.10 that  $d(h_{i,t}, h_{i,t'}) < 2^{-e}C_i$ . For (5) we now proceed as in Lemma 4.10.

LEMMA 5.12. The requirement  $N_e$  is satisfied.

Proof. Fix e. Assume for a contradiction that  $(h_{\Theta_{e,s}(0)})_{s\in\mathbb{N}}$  is a fast converging sequence which converges to a strictly monotonic function H in  $C[0,\alpha]$ . Since H is continuous, let e' be a number large enough that for all large enough stages s we have  $|H(\alpha_s) - H(\alpha_s - \epsilon_e)| > 2^{-e'}$ . Fix t such that  $\Theta_{e,t}(0)$  outputs a number n such that  $\theta_{e,t}(0) > e' + 5$ , and such that  $|h_n(\alpha_s) - h_n(\alpha_s - \epsilon_e)| > 2^{-e'-1}$  for almost every stage s > t. It is easy to see that at almost every stage s > t,  $N_e$  will satisfy the conditions for it to act.

Now let  $N_e$  act at stage s. Let t < s be the stage where  $\Theta_{e,t}(0)$  outputs a number n satisfying  $|h_{n,s}(\alpha_s - \epsilon_e) - h_{n,s}(\alpha_s)| > 2^{-\theta_{e,t}(0)+3}$ . Recall that  $d(h_n, H) < 2^{-\theta_{e,t}(0)}$ . Without loss of generality assume that  $h_{n,s}(\alpha_s - \epsilon_e) < h_{n,s}(\alpha_s)$ . The action at stage s ensures that  $h_n(\alpha_s - \epsilon_e) + 2^{-\theta_{e,t}(0)+3} < h_n(\alpha_s)$ and  $h_n(\alpha_s + \epsilon_e) + 2^{-\theta_{e,t}(0)+3} < h_n(\alpha_s)$ .

Finally, examining the values of  $H(\alpha_s - \epsilon_e)$ ,  $H(\alpha_s)$  and  $H(\alpha_s + \epsilon_e)$  reveals a contradiction:  $H(\alpha_s - \epsilon_e) < H(\alpha_s)$  and  $H(\alpha_s + \epsilon_e) < H(\alpha_s)$ .

This finishes the proof of Theorem 5.3.

**6.** A short conclusion. As already mentioned in the preliminaries, we have not touched several combinations of the symbols  $+, (r \cdot)_{r \in Q}, \times, 1, 0$ , or some other operations such as lattice operations, since not all of these

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combinations are found natural as signatures, and because we wished to keep the paper shorter. However, if we consider the relation "to effectively determine" on the family of signature symbols, we get a reduction. A pure theoretical curiosity could lead us to a further study of this reduction.

There are lots of problems related to our results which have not been touched so far. We could pick any other classical space such as  $L_3[0, 1]$  and ask the same questions we were addressing in our paper. Also, it is not clear if there is a Banach space which is computably categorical, but whose associated metric space is not computably categorical. Similarly, can we find a Banach algebra which is computably categorical, but the associated Banach space is not? What can be said about the computable dimension of classical Banach spaces and algebras, including C[0, 1]? Can we find a Banach space of finite computable dimension  $\neq 1$ ? We expect that new computabilityanalytic methods are needed to answer these and similar questions.

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